



12-24-03 PCT/PTO 23 DEC 2003 PCT# 48

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Inventor** : **Anthony Wayne BOND, et al.**  
**Title** : **Input Output Interface and Device Abstraction**  
**Application No.** : **09/743,950**  
**Filed** : **Jan. 12, 2001**  
**PCT No.** : **PCT/AU99/00595**  
**Int. Filing Date** : **July 23, 1999**

Mail Stop: Petitions  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 pursuant to 37 CFR 1.10 on the date shown below as

Express Mail Receipt No. **EU 834833623 US**

Name: **Jean A. Burns**

Signature: *Jean A. Burns*

Date: **12/23/03**

**Renewed Petition Under 37 CFR § 1.137(b)**

To the Commissioner for Patents:

The above-referenced national stage application became abandoned for failure to submit an acceptable oath or declaration within the time period set forth in the "Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) (Form PCT/DO/EO/905) mailed on February 23, 2001. On February 27, 2001, Applicant filed a "Petition to Revive an Abandoned Application under 37 CFR 1.137(b)" and "Response to Notification of Missing Requirements under 35 U.S.C. 371" and "petition under 37 CFR 1.183 to Suspend the Rules." Applicant's petition under 37 CFR 1.137(b) and under 37 CFR 1.183 were both dismissed without prejudice. On July 28, 2003, Applicant filed a renewed "Petition to

Revive an Abandoned Application under 37 CFR 1.137(b)” along with a “Petition under 37 CFR 1.47(b).”

Examiner rejected the petition to revive based on a finding that item (1) of 1.137(b) had not been satisfied. Specifically, the examiner found that a more detailed explanation of the delay was required before the petition could be granted. Applicant respectfully disagrees with this determination.

It is respectfully noted applicant’s petition for revival of the current application pursuant to 37 CFR 1.137(b) should be reviewed at a less burdensome standard than a petition to revive filed pursuant to 37 CFR 1.137(a). *See MPEP §711.03(c) (Rev. 1, Feb. 2003)* Although the *Manual of Patent Examining Procedure* (MPEP) does not set forth a specific standard of review for petitions filed under 37 CFR 1.137(b), the MPEP does indicate that “Petitions under 37 CFR 1.137(b) are less burdensome (statement(s) rather than a showing accompanied by documentary evidence) to file and are evaluated under the less stringent “unintentional delay standard.” *Id.* In addition, it has been similarly adjudicated that a petition filed under 37 CFR 1.137(b) was intended to provide for “a much less strict standard” than had otherwise been available. *See. In re Bryan*, 2 USPQ2d 1215, 1218 (Dep. Ass’t Comm’r 1986).

Furthermore, it is noted that during the promulgation of the provisions of 37 CFR 1.137(b) first providing for the ability to revive an application based on unintentional abandonment, in response to comments to the proposed rules, Gerald J. Mossinghoff, then Commissioner for of Patents and Trademarks discussed what was intended in the statement in § 1.137(b) that the Commissioner may require additional information where there is question whether the abandonment was unintentional. The Commissioner responded that, “Additional

information would be required only where there is an indication that the abandonment was intentional, for example, where an express abandonment had been filed. The record should be clear how such an express abandonment was unintentional if the petition is to be granted.” 47 FR 33086, 33094 (1982)

It is also respectfully noted that the applicant’s petition to revive the current application pursuant to 37 CFR 1.137(b) should not be reviewed with a requirement that there was an exercise of due care and diligence. In response to comments to proposed changes to 37 CFR 1.137(b) to remove the filing period requirements, Bruce A. Lehman, then Assistant Secretary of Commerce and Commissioner of Patents and Trademarks replied that “unintentional delay does not require that the delay have occurred despite the exercise of due care and diligence (as does “unavoidable” delay), the Office does not routinely require a “showing” of unintentional delay for a petition under § 1.137(b).” 62 FR 5312, 53161 (1997).

In the interest of full disclosure, however, Applicant has requested a detailed summary of all facts concerning each of the individuals that were in some way involved with the prosecution of these files. Specifically, Applicants have included declaration from (i) Shahan Islam, Reg. No. 32,507, who was the attorney responsible for this application; (ii) Cheryl Bajana, a legal secretary that worked with Mr. Islam and was responsible for opening and managing mail from the USPTO; (iii) Samson Helfgott, Reg. No. 23,072, a colleague of Mr. Islam who was aware of many of the technical details concerning the docketing database as well as the facts that led to the discovery of the inadvertent abandonment of this application; and (iv) Satnarine Seonarain, a docketing clerk with the law firm of Rosenman & Colin, LLP, who provided screenshots and images of the docketing entries (or lack thereof) concerning the abandonment of this application.

As these declarations provide, Mr. Islam does not recall being notified of the outstanding notice of missing parts or the notice of abandonment. Indeed, the notice of abandonment could not even be found in the file that had been set up for this application. (Decl. of Shahan, para. 8) This docketing oversight led to the initial abandonment of the application which was not discovered on June, 2002. (Decl. of Shahan, para.12). This petition was rejected as a result of a failure to reply to the outstanding office action. The decision on the petition, however, was not properly docketed as a result of a lack of experience by Mr. Islam's secretary and was not brought to the attention of Mr. Islam. Throughout this period, however, "it was never [Mr. Islam's] intention to abandon this application, nor was it the intention of the applicant, and [he] continuously worked on the inventorship issue in order to provide the necessary information to the USPTO." (Decl. of Shahan, para. 18) This issues were complicated by law firm mergers, technology integration issues, and docketing management challenges throughout the period. (Decl. of Seonarain, para. 4-6) These facts, as well as the supporting documents provided as exhibits to each of these declarations.

It is believed that applicant's initial petition filed on July 28, 2003, this renewed petition, and the facts set forth in each of the declarations (and documented, in part, with the attached exhibits) clearly illustrate that there was no deliberate or express action taken to abandon the current application. It is believed that the facts as set forth in the most recent petition filed on July 28, 2003 and in this request for reconsideration demonstrates that the abandonment of the current application was wholly unintentional. Thus, it is respectfully requested that applicant's request for reconsideration of its petition to revive the current application due to unintentional abandonment, pursuant to 37 CFR 1.137(b), be granted and the current application be revived and returned to examination.

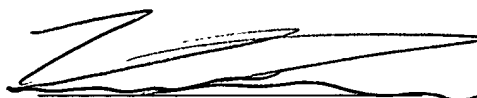
It is respectfully requested that the current application be revived pursuant to this renewed petition and considered for the above-referenced application.

A check and petition for a one-month extension is included with these materials. It is believed that no other fees are due with this petition. However, if any other fees are a due with this paper, the Commissioner is hereby authorized to charge such fee(s) to Deposit Account No. 50-0792.

Please direct any inquiries regarding this petition to the Applicant's undersigned attorney, who can be reached directly by telephone at (303) 298-5718.

Favorable consideration is respectfully solicited.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Kendall I. Thiessen', written over a horizontal line.

Kendall I. Thiessen  
Reg. No. 45,158

Attorney Docket No.: 04560-00012

Gibson, Dunn & Crutcher LLP  
1801 California Street, Suite 4100  
Denver, CO 80202  
Telephone: 303/298-5700  
Facsimile: 303/296-5310

**KMZ Rosenman**  
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SHAHAN ISLAM  
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212.940.8564

October 29, 2002

EV105567317US

VIA EXPRESS MAIL

Mr. Ronald Mach  
7942 Wishing Well Road  
Las Vegas, Nevada 89123

Re: U.S. Patent Serial No. 09/743,950  
Filed: January 12, 2001  
For: Input Output Interface and Device Abstraction  
Our Ref.: 3135/FBR (031035-87559)

Dear Ron:

Hi, it has been a long time since I have seen you or anybody else at the former Nugame.

As you will hopefully recall, I helped draft and supervised the drafting of the original priority U.S. Provisional Application which was assigned along with foreign counterparts of the application to Aristocrat. I also met you very briefly when I visited Nugame's (back then) office, although we talked on the phone and faxed drafts back and forth.

As the application which we filed was a "provisional" patent application, no Declaration and Power of Attorney was necessary and was not filed. However, this application was assigned by you to Nugame, which, in turn, assigned it to Aristocrat Leisure Industries, which later changed its name to Aristocrat Technologies Australia Pty Ltd.

Subsequently, a PCT Application was filed by applicant Aristocrat Technologies Australia Pty Ltd.

As you know, sometime after the assignment of the provisional application from Nugame to Aristocrat, Nugame closed its doors and is now defunct, with no traceable phone number or office - although, I believe, Mike Farnham, the former CEO is still in Las Vegas.

I have been trying extensively to find you through searches in web databases, calls to the telephone company and directory assistance, as well as calling Farnham, who advised me that he is not aware of the whereabouts of the inventors.

However, just on the chance that I MAY find you, I am enclosing with this letter, a Declaration and Power of Attorneys for the U.S. Application (enclosed) which represents the "national phase" entry of the PCT application.

Chicago

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Los Angeles

Washington, DC

Charlotte

Palo Alto

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Mr. Ronald Mach  
October 29, 2002  
Page 2

We need to have you sign and date the Declaration on page 2 and return it to us in the enclosed, self-addressed, Federal Express envelope. (For convenience, you may call 1-800-FED EX to schedule someone from Federal Express to come and get it.)

**Please call me if you have any questions** and, of course, if I can help you with anything, please advise, as it was a pleasure working with you back in 1998.

Sincerely,

Shahan Islam

Enc: Copy of Patent Application  
Declarations and Power of Attorney  
Self-addressed Federal Express envelope



EV 105567317 US

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L 021035-87559 3135/FBR							
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Date: 07/08/2003

Fax Transmission To: LINDA CHAN  
Fax Number: 212-894-5712

Dear LINDA CHAN:

In response to your request dated 07/08/2003, we regret to inform you that we were unable to locate any delivery information in our records regarding your item number EV105567317US.

If you require additional assistance, please take this receipt to your local Post Office or postal representative.

Sincerely,

United States Postal Service

# PETITION FOR EXTENSION OF TIME

Docket Number (Optional)  
04560-00012

In re Application of **Bond**

Application Number **09/743,950**

Filed **01/12/2001**

For **Input Output Interface and Device Abstraction**

Group Art Unit

Examiner

This is a request to extend the period for filing a reply in the above identified application.

The requested extension and appropriate non-small-entity fee are as follows

(check time period desired):

- |   |                    |
|---|--------------------|
| <input checked="" type="checkbox"/> One month (37 CFR 1.17(a)(1)) | \$ <u>110.00</u>   |
| <input type="checkbox"/> Two months (37 CFR 1.17(a)(2))           | \$ <u>420.00</u>   |
| <input type="checkbox"/> Three months (37 CFR 1.17(a)(3))         | \$ <u>950.00</u>   |
| <input type="checkbox"/> Four months (37 CFR 1.17(a)(4))          | \$ <u>1,480.00</u> |
| <input type="checkbox"/> Five months (37 CFR 1.17(a)(5))          | \$ <u>2,010.00</u> |

☐ Applicant claims small entity status. See 37 CFR 1.27. Therefore the fee amount shown above is reduced by one-half, and the resulting fee is: \$

☒ A check in the amount of the fee is enclosed.

☐ Payment by credit card. Form PTO-2038 is attached.

☐ The Commissioner has already been authorized to charge fees in this application to a Deposit Account.

☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 50-0792.

I have enclosed a duplicate copy of this sheet.

I am the ☐ applicant/inventor

☐ assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record.


☐ attorney or agent under 37 CFR 1.34(a).

Registration number if acting under 37 CFR 1.34(a) \_\_\_\_\_

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

December 23, 2003

Date

  
Signature

Kendall I. Thiessen, Reg. No. 45,158

Typed or printed name

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of two forms are submitted.

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Inventor** : **Anthony Wayne Bond, et al.**  
**Title** : **INPUT/OUTPUT INTERFACE AND DEVICE  
ABSTRACTION**  
**Serial No.** : **09/743,950**  
**Filed** : **January 12, 2001**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Declaration of Shahan Islam**

I, Shahan Islam, hereby declare as follows:

1. I am a registered patent attorney in the United States Patent and Trademark Office under registration no. 32,507.
2. In 1998, I was a partner in the law firm of Friedman Siegelbaum handling intellectual property matters. Through an Australian associate, F.B. Rice & Company, with whom I had been working, I was put in contact with an Australian client, Aristocrat Leisure Industries Pty. Ltd. (which later changed its name to Aristocrat Technologies Australia Pty Limited) (hereinafter "Aristocrat"), who had requested that I prepare provisional applications for filing in the United States Patent and Trademark Office in connection with technology that they acquired from a company, Nugame, Inc. (hereinafter "Nugame"), located in the United States. In preparing the provisional applications I was in contact with a number of individuals who were former employees of Nugame and who had invented the technology. A provisional application was filed in the United States Patent Office on July 24, 1998. Copies of the application were forwarded to F. B. Rice.
3. At the beginning of 1999, I was in communication with F.B. Rice in connection with this case and they advised me that they were instructed by Aristocrat to file a PCT application in Australia, designating the United States. As the inventors were not available to F.B. Rice, they filed the application in Australia advising the Australian receiving office that the inventors were unavailable to sign the powers and the application was given a filing date.
4. Around April, 2000, I left the firm of Friedman Seigelbaum and began working as a partner with the firm of Rosenman & Colin LLP. At the time, Rosenman & Colin LLP did not have an intellectual property

department. Thus, I had to establish my own routines with very limited staff available. I set up a physical manual docketing system whereby my secretary would enter into a calendar due dates for responses to Office Actions for patent applications and monitor the calendar. As due dates approached my secretary would pull the files for my review and preparation of the required response. A sample page of such docket book is attached herewith as Exhibit A.

5. A few months after I arrived, I was contacted by F.B. Rice and given instructions to enter into the national phase on the PCT application no. PCT/AU99/00595. In January, 2001 I entered into the national phase by filing this case on January 12, 2001. Under my supervision Theodore Gottlieb, who was hired as a paralegal with Rosenman & Colin LLP, advised F.B. Rice of such entry with the attached letter, Exhibit B.
6. On February 23, 2001, the USPTO issued a notice of missing requirements indicating that there was no oath or declaration submitted. I advised F.B. Rice of this and F.B. Rice indicated that apparently they could not contact the inventors and requested our assistance in this matter. This was conveyed in a letter to me, a copy of which is attached hereto as Exhibit C.
7. In October, 2000, a new secretary, Cheryl Bajana, began working for me at Rosenman & Colin LLP. As she had no intellectual property training, I trained her with respect to her duties as an intellectual property secretary. During this time, Ms. Bajana began docketing, in the physical manual calendar that I had previously set up, due dates for responses to Office Actions received from the USPTO for patent applications I was handling. During my preparation of this declaration, I was advised that Katten Muchin Zavis Rosenman could no longer find the docket calendar for the year 2000 and accordingly I have no way of checking whether the notice of missing requirements was correctly docketed. However, to the best of my recollection, the notice of missing requirements was not brought to my attention for preparation of a response.
8. To the best of my recollection, I did not receive a notice of abandonment for this application. During preparation of this Declaration, I again personally reviewed the file I had set up for this application, which included the correspondence to and from the USPTO, and I did not find any notice of abandonment in the file.
9. During the period after October 2000, I regularly tried to contact the inventors to no avail. In my efforts to contact the inventors, I called the former CEO of Nugame, Mr. Michael J. Farnham, and he advised me that Nugame was no longer in existence and he had no way of contacting the inventors. I searched on the internet for contact telephone and address information for the inventors, but was unsuccessful in locating the inventors. However, throughout this time, I had the continued intention of finding the inventors in order to fulfill the requirements of the USPTO and maintain this application.


10. In September 2001, my firm, Rosenman & Colin LLP had brought in a patent group headed by Samson Helfgott, and included a number of attorneys. They apparently had a computerized docketing system. They were very busy at the beginning transferring all of their work and maintaining their clients and were not readily available to address my needs. Although as a partner I retained responsibility for my own clients with respect to their patent matters, once they got their docketing system set up at Rosenman & Colin LLP, they indicated that they would be available to enter my docketing into their computerized system. Prior to this time, I would occasionally request that specific matters be docketed. I do not recall requesting any such special docketing for this application. Once the docketing system was set up at Rosenman & Colin LLP, as new matters came to my secretary for docketing, she would docket it in my manual docketing calendar, and was instructed to then submit the information to the docketing clerk for entry into the computerized docketing system. The docketing clerk would then enter the bibliographic information for the application as well as the docketing information, in the computerized system. Because of the volume of work, we only proceeded on a going forward basis. Accordingly, as my secretary received new correspondence from the USPTO and noted that there was a due date for response, she would make a docketing entry in my manual docketing calendar and a corresponding docketing entry would be made in the computerized docketing system. By way of example, attached as Exhibit D is a print-out of a docketed due date for one of the applications I handled corresponding to a docketing entry made for the same case in my docketing calendar, as shown in the copy of the relevant page of my 2002 docket calendar attached hereto as Exhibit E.
11. In approximately February 2002, the firm of Rosenman & Colin LLP merged with the Chicago law firm of Katten Muchin Zavis to become the law firm of Katten Muchin Zavis Rosenman ("KMZRosenman"). After the merger, I continued to have responsibility for the prosecution of this case.
12. During June, 2002, while reviewing other cases for Aristocrat, I came upon the present case and, in seeing the notice of missing requirements, became aware that the time for submitting a response, with extensions, had passed. I therefore submitted a petition to revive the case together with a petition to suspend the rules because we could not obtain the signatures of the inventors on the declaration. This act showed the applicant's and my intention not to abandon this application.
13. On September 9, 2002, a decision was issued on the petition. Under normal routines the decision would have come to my secretary and under normal routines, had she noticed that a due date for response was given, she would have entered it into the docket calendar on the due date for response. Thereafter, as the due date would approach my secretary would bring the file to me to remind me of the response coming due. At the time, I probably assumed that this matter was docketed since the last page of the decision indicated a two-month due date for filing a request for reconsideration of the petition. However, in preparation for this Declaration, I was given a copy of the 2002 docket calendar and on the date of November 9, 2002, the date on which the two-month due date

should have been entered, there does not appear to be any entry for this application. Thus, it appears that the matter was not docketed. Enclosed as Exhibit F is a copy of the page from the 2002 docket calendar on which a notation of the attorney docket number would have been entered had the response due date been docketed.

14. After receiving and reading the decision on the petition, I again tried to contact the inventors by sending Express Mail to the last known address of the inventors, Exhibit G, as was previously discussed in my declaration filed with the initial petition and the previously filed request for reconsideration. While I was waiting for a response to the Express Mail, I contacted the Petitions Office and was advised to wait for a response to the Express Mail or until they were returned as undeliverable before proceeding with filing a request for reconsideration.
15. Although the decision on the petition was not docketed, I knew that a request for reconsideration would be due at some point and kept this in mind. Because there was no docketing for the due date for the request for reconsideration, the application was never brought to me at a specific time for preparation and filing of the request for reconsideration. However, from time to time I would recall that some action was due for this application and would renew my efforts to contact the inventors. Occasionally my memory would be triggered as to this case when I received communications from F. B. Rice regarding other cases that we handled for them. During the period from the decision on the first petition until my departure, I also continued to wait for reply correspondence from the inventors where the my communications sent by Express Mail were not returned by the United States Postal Service.
16. In March, 2003, my secretary, Cheryl Bajana, left her employment at KMZ Rosenman. After Ms. Bajana left KMZ Rosenman, I did not have a secretary of my own, but was relying upon floater secretaries to assist me with secretarial services.
17. During March of 2003, I obtained an offer of employment at another company and during the months of March and April, 2003, I tried to "clean up" as many of my files as possible. It was then that I noticed that I still had been unable to address the inventorship issue with respect to this application. At that time, I requested assignment information from the Australian associate firm of F.B. Rice in preparation for drafting the request for reconsideration of the petition, as shown in my letter attached hereto as Exhibit H.
18. As the decision on petition had not been docketed, at no time did it come to my attention for a response due. However, throughout the period from filing until my departure from KMZ Rosenman, it was never my intention to abandon this application, nor was it the intention of the applicant, and I continuously worked on the inventorship issue to provide the necessary information to the USPTO.
19. At no time was I advised by F.B. Rice or Aristocrat to allow this application to go abandoned. To the best of my knowledge, this application was to be maintained active at all times.

20. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: Nov. 23, 2003

  
Shahan Islam

November 2002      December 2002

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# OCTOBER 20

FRIDAY OCT 19/02

SATURDAY OCT 20/02

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SUNDAY OCT 20/02

2734/Melike  
Response to OAN/3 mo



Rosenman & Colin LLP  
575 Madison Avenue  
New York NY 10022-2585

Telephone: (212) 940-8800  
Facsimile: (212) 940-8776  
Facsimile server: (212) 940-6400  
web site: www.rosenman.com

January 19, 2001

**VIA AIR MAIL**

Mr. Chris Owens  
605 Darling Street  
P.O. Box 117  
Balmain, NSW 2041  
AUSTRALIA

**Deadline:** April 12, 2001  
(Information Disclosure Statement)

DIRECT DIAL  
(212) 940-6308  
E-MAIL ADDRESS  
TAGOTTI@ROSENMAN.COM  
DIRECT FAX NUMBER  
(212) 940-8864

Re: National Phase Entry Application  
Based on International Patent Application PCT/AU99/00595  
Filed: January 12, 2001  
For: INPUT OUTPUT INTERFACE AND DEVICE  
ABSTRACTION  
Your Ref.: 103053 Our Ref.: 3135/FBR

Dear Chris:

We are pleased to report that we have filed the above-identified application with the U.S. Designated/Elected Office (DO/EO/US) on January 12, 2001.

We have also filed an Information Disclosure Statement.

Enclosed is our invoice for services rendered and costs incurred. We will keep you advised as the application progresses.

Please also let us know about any references for Information Disclosure Statement purposes before, say, April 12, 2001.

Very truly yours,



Shahan Islam

Enclosures: Application Papers as filed  
Invoice No. 84182

**By Facsimile**

0011 1 212 940 8776

**FB RICE & CO**

Patent and Trade Mark Attorneys

Our Ref: 102997;103038/43/48/53

Your Ref: 3114/FBR; 3113/; 3119/; 3124/; 3135/

13 February 2001

Rosenman & Colin LLP  
 Attention Mr Shahan Islam  
 575 Madison Avenue  
 New York NY 10022-2585  
 United States Of America

**Former NuGame Properties, now Entered into the National  
 Phase in USA**

Dear Shahan

We are awaiting your advice resulting from your investigation into the possibility of enlisting the support of the inventors for the various former NuGame US Provisional applications.

As we are receiving Notification of Missing Parts for these national phase applications, we are becoming concerned that we will not be able to meet the deadlines.

Would you please advise us what our best course of action will be in that event.

We look forward to hearing from you.

Yours sincerely  
 F B RICE & CO

*for* CHRIS OWENS

CO/ib/b13/102997

606 Darling Street,  
 PO Box 117  
 Balmain NSW 2041  
 Australia  
 ABN 53 487 267 594

Tel (012) 9010 7133  
 Fax (012) 9810 8200  
 DX 22409 Balmain  
 partners@fbrice.com.au  
 www.fbrice.com.au

**PARTNERS:**

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BSc(Elec Eng Hons) FIPTA

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Mark O'Donnell  
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Brett Lunn BSc PhD Comp FIPTA

Jenny Petering  
BSc(Hons) PhD FIPTAPaul Kilborn  
DAppSc(Chem) Grad Dip Comp Stud FIPTAJeremy Dobbin  
BA(Hons) MA(Oxon) CPA(UK) EPA FIPTA**SENIOR ASSOCIATE:**Joanne Martin\*  
BA MCom Dip Law(SAM)**ASSOCIATES:**

Gwen Bentley BSc FIPTA

Mark Wakeham BSc FIPTA

\* Trade Mark attorney

FB RICE &amp; CO also has a Melbourne Office

FB RICE & CO is associated with  
Computer Patent Associates (CPA)

---

## Country Application

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Sunday, October 26, 2003

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Client-Matter: 053735-87545

Country: US

SubCase:

Client: MEINKE, DABRINGHAUS UND PARTNE United States of America

Case Type: ORD

Application Status: Pending

Application Number: 09 [REDACTED]

Filing Date: 12-Oct-1999

Publication Number:

Publication Date:

Patent Number:

Issue Date:

Parent/PCT Number: [REDACTED]

Parent/PCT Date : 28-Oct-1998

Parent Issue Number:

Parent Issue Date :

Agent:

Expiration Date:

Agent Reference No.:

PTA: 0

Client Code: MEIN

Tax Schedule: LE

Confirmation #:

Related Case: 2754

**REDACTED**

# Country Application

Sunday, October 26, 2003

## List Of Actions

Action(s) Due	Due Date	Action Taken	
FOLLOW UP ON POSTCARD	12-Dec-1999	Due Date	
Information Disclosure Stmt	12-Jan-2000	Due Date	
Application Status Check	12-Apr-2001	Due Date	27-Jun-2002
Did You Report O/A To Client	27-Jul-2002	Due Date	27-Nov-2002
1 Month to Due Date	27-Aug-2002	Reminder	27-Nov-2002
3 Month Due Date	27-Sep-2002	Due Date	27-Nov-2002
1st Extension	27-Oct-2002	Reminder	27-Nov-2002
2nd Extension	27-Nov-2002	Reminder	27-Nov-2002
2 Weeks to Final Date	12-Dec-2002	Due Date	27-Nov-2002
6 Month Final	27-Dec-2002	Final	27-Nov-2002
Application Sta Follow Up Date	27-Dec-2002	Due Date	27-Jun-2002
Did You Report O/A To Client	01-Apr-2003	Due Date	24-Jun-2003
1 Month to Due Date	24-Apr-2003	Reminder	24-Jun-2003
3 Month Due Date	24-May-2003	Due Date	24-Jun-2003
PTO must resp	27-May-2003	Due Date	24-Feb-2003
1st Extension	24-Jun-2003	Reminder	24-Jun-2003
2nd Extension	24-Jul-2003	Reminder	24-Jun-2003
2 Weeks to Final Date	08-Aug-2003	Due Date	24-Jun-2003
6 Month Final	24-Aug-2003	Final	24-Jun-2003
Did You Report O/A To Client	02-Oct-2003	Due Date	
1 Month to Due Date	02-Nov-2003	Reminder	
3 Month Due Date	02-Dec-2003	Due Date	
PTO must resp	24-Dec-2003	Due Date	02-Sep-2003
1st Extension	02-Jan-2004	Reminder	
2nd Extension	02-Feb-2004	Reminder	
2 Weeks to Final Date	17-Feb-2004	Due Date	
6 Month Final Date	02-Mar-2004	Final	
Notice of Appeal Due	02-Mar-2004	Final	
7th Months-Is Brief Needed	02-Apr-2004	Due Date	

User ID: SSEONARA

Date Created: 04-Mar-2002

Last Update: 04-Mar-2002

January 2003							February 2003						
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to **DECEMBER 29**

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to NOVEMBER 10

THURSDAY NOV 7 31/3/52

FRIDAY NOV 8 31/3/53

SATURDAY NOV 9 31/3/52

7 3150/FIL  
Final Lts of Appeal

8 2665/D+F  
Final Lts of Appeal

9 if no prev. Response filed

10 2734/Monke  
Final Lts of Appeal

11 10/2/1

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7 Follow-up w/  
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SUNDAY NOV 10 31/4/51

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Int. for Response ✓

2734/Monke  
Response due 12/0

Replied/Check if no  
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ATA-GLANCE®

October 29, 2002

EV105567317US

VIA EXPRESS MAIL

Mr. Ronald Mach  
7942 Wishing Well Road  
Las Vegas, Nevada 89123

Re: U.S. Patent Serial No. 09/743,950  
Filed: January 12, 2001  
For: Input Output Interface and Device Abstraction  
Our Ref.: 3135/FBR (031035-87559)

Dear Ron:

Hi, it has been a long time since I have seen you or anybody else at the former Nugame.

As you will hopefully recall, I helped draft and supervised the drafting of the original priority U.S. Provisional Application which was assigned along with foreign counterparts of the application to Aristocrat. I also met you very briefly when I visited Nugame's (back then) office, although we talked on the phone and faxed drafts back and forth.

As the application which we filed was a "provisional" patent application, no Declaration and Power of Attorney was necessary and was not filed. However, this application was assigned by you to Nugame, which, in turn, assigned it to Aristocrat Leisure Industries, which later changed its name to Aristocrat Technologies Australia Pty Ltd.

Subsequently, a PCT Application was filed by applicant Aristocrat Technologies Australia Pty Ltd.

As you know, sometime after the assignment of the provisional application from Nugame to Aristocrat, Nugame closed its doors and is now defunct, with no traceable phone number or office - although, I believe, Mike Farnham, the former CEO is still in Las Vegas.

I have been trying extensively to find you through searches in web databases, calls to the telephone company and directory assistance, as well as calling Farnham, who advised me that he is not aware of the whereabouts of the inventors.

However, just on the chance that I MAY find you, I am enclosing with this letter, a Declaration and Power of Attorneys for the U.S. Application (enclosed) which represents the "national phase" entry of the PCT application.

Mr. Ronald Mach  
October 29, 2002  
Page 2

We need to have you sign and date the Declaration on page 2 and return it to us in the enclosed, self-addressed, Federal Express envelope. (For convenience, you may call 1-800-FED EX to schedule someone from Federal Express to come and get it.)

**Please call me if you have any questions** and, of course, if I can help you with anything, please advise, as it was a pleasure working with you back in 1998.

Sincerely,

Shahan Islam

Enc: Copy of Patent Application  
Declarations and Power of Attorney  
Self-addressed Federal Express envelope



October 29, 2002

VIA EXPRESS MAIL

Mr. Anthony Bond  
277 Adorno Drive  
Henderson, Nevada 89014

Re: U.S. Patent Serial No. 09/743,950  
Filed: January 12, 2001  
For: Input Output Interface and Device Abstraction  
Our Ref.: 3135/FBR (031035-87559)

Dear Anthony:

Hi, it has been a long time since I have seen you or anybody else at the former Nugame.

As you will hopefully recall, I helped draft and supervised the drafting of the original priority U.S. Provisional Application which was assigned along with foreign counterparts of the application to Aristocrat. I also met you very briefly when I visited Nugame's (back then) office, although we talked on the phone and faxed drafts back and forth.

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We need to have you sign and date the Declaration on page 2 and return it to us in the enclosed,



Mr. Anthony Bond  
October 29, 2002  
Page 2

self-addressed, Federal Express envelope. (For convenience, you may call 1-800-FED EX to schedule someone from Federal Express to come and get it.)

**Please call me if you have any questions** and, of course, if I can help you with anything, please advise, as it was a pleasure working with you back in 1998.

Sincerely,

Shahan Islam

Enc: Copy of Patent Application  
Declaration and Power of Attorney  
Self-addressed Federal Express envelope



POWER-OF ATTORNEY: As a named inventor, I hereby appoint Shahan Islam (Reg. No. 32,597) whose address is KMZ Rosenman, 575 Madison Avenue, New York, New York 10022-2585 (e-mail: shahan.islam@kmz.com) as my attorney, to prosecute this application, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

Send Correspondence To: SHAHAN ISLAM, ESQ. KMZ ROSEMAN, 575 Madison Avenue, New York, New York 10022-2585				Direct Telephone Number (212) 940-8564	
Full Name of 1st Inventor	Last Name <b>BOND</b>		First Name <b>ANTHONY</b>		Middle Name <b>WAYNE</b>
Residence & Citizenship	City <b>Henderson</b>	State or Foreign Country <b>Nevada</b>		Country of Citizenship <b>USA</b>	
Home Address	No. and Street Address <b>277 Adorno Drive</b>	City <b>Henderson</b>	State or Country <b>Nevada</b>	Zip Code <b>89014</b>	
Full Name of 2nd Inventor	Last Name <b>MACH</b>		First Name <b>RONALD</b>		Middle Name <b>EDWARD</b>
Residence & Citizenship	City <b>Las Vegas</b>	State or Foreign Country <b>Nevada</b>		Country of Citizenship <b>USA</b>	
Home Address	No. and Street Address <b>7942 Wishing Well Road</b>	City <b>Las Vegas</b>	State or Country <b>Nevada</b>	Zip Code <b>89123</b>	
Full Name of 3rd Inventor	Last Name		First Name		Middle Name
Residence & Citizenship	City	State or Foreign Country		Country of Citizenship	
Home Address	No. and Street Address	City	State or Country	Zip Code	
Full Name of 4th Inventor	Last Name		First Name		Middle Name
Residence & Citizenship	City	State or Foreign Country		Country of Citizenship	
Home Address	No. and Street Address	City	State or Country	Zip Code	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of 1st Inventor	Signature of 2nd Inventor	Signature of 3rd Inventor	Signature of 4th Inventor
Date	Date	Date	Date

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Inventor** : **Anthony Wayne Bond, et al.**  
**Title** : **INPUT/OUTPUT INTERFACE AND DEVICE  
ABSTRACTION**  
**Serial No.** : **09/743,950**  
**Filed** : **January 12, 2001**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450


**Declaration of Cheryl Bajana**

I, Cheryl Bajana, hereby declare as follows:

1. During September 2000, I began my employment at the law the firm of Rosenman & Colin LLP and during October 2000 I was assigned as a secretary to work for Mr. Shahan Islam, a partner handling intellectual property matters. I had no previous experience in connection with intellectual property matters and was instructed by Mr. Islam in connection with administrative procedures concerning his work.
2. During my employment as Mr. Islam's secretary, one of the procedures established was for me to receive and open all mail sent to Mr. Islam from the USPTO. When a due date for response was noted on the correspondence from the USPTO, I was to enter the information into a physical calendar indicating the due date for the response. Thereafter, I was to bring the file with the communication to Mr. Islam. Attached hereto as Exhibit A is a page from one of the physical calendars showing entries that I made for due dates. On a regular basis, I was also to review the physical docketing calendar for upcoming due dates and for each item coming due I was to bring the relevant file to Mr. Islam for preparation of the response coming due.
3. In September 2001, Mr. Samson Helfgott and a group of other patent attorneys joined the firm of Rosenman & Colin LLP. At that time our intellectual property group handling patents had expanded. I became aware that they had a computerized docketing system to be maintained by a docketing clerk, that was being installed on the firm's computer network. From about February 2002 on, I was asked that each time I entered a docket number in the physical manual docketing calendar that I maintained for Mr. Islam, I should also give the file and correspondence to the docketing clerk for entry of the application information in the computerized docketing system and for docketing of the due date for the response to the correspondence.

4. Attached as Exhibit B is a decision on petition dated September 9, 2002 which apparently arrived at Rosenman & Colin LLP for Mr. Islam. At present I do not recall receiving the decision on the petition and I do not recall whether or not I entered any docketing information for this on the physical docketing calendar. Attached as Exhibit C is a copy of the page from the physical docketing calendar for the due date for the filing of a request for reconsideration of the decision on the petition. The absence of my entry for such due date indicates that no docket entry was made.
5. Generally, as I received correspondence from the USPTO, I would always look at the first page which normally contained a due date for filing of a response, and then I would use that as the basis for my entry into the docketing system (including making a notation of the due date in my physical docketing calendar and forwarding the file and correspondence to the docketing clerk for entry and docketing, after the implementation of the computerized docketing system at Rosenman & Colin LLP). I note that in this case, the document had multiple pages and near the end of the last paragraph, on the last page, imbedded within the text is a due date for response. As I normally took the due date from the first page of such communication from the U.S. Patent and Trademark Office, it may very well have been that I did not read through the entire multiple-page document, and therefore did not find the due date embedded within the last paragraph. As I did not make an entry of the due date for the response in the physical manual docketing calendar, I would not have forwarded the file and correspondence to the docketing clerk for entry into the computerized docketing system. Furthermore, as no entry was made into the physical manual docketing calendar, I would have had no reason to bring this case to the attention of Mr. Islam when the actual due date came about.
6. I recall this case as being one in which Mr. Islam continuously tried to contact the inventors. I recall regularly sending out mail, looking up information, and trying to obtain the contact information for the inventors. I specifically recall Mr. Islam's concern about his inability to obtain the inventors contact information.
7. I do not recall ever receiving any correspondence from Aristocrat or F.B. Rice instructing us to abandon this application. To the best of my knowledge, this application was to be maintained active at all times.
8. In March 2003, I left the employ of KMZ Rosenman.
9. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 11/25/03

  
Cheryl Bajana

November 2002

December 2002

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OCTOBER 20

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UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED SEP 16 2002 ROSENMAN & COLIN LLP. IP DEPARTMENT	RECEIVED SEP 1 2002 ROSENMAN & COLIN LLP. IP DEPARTMENT
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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

Shahan Islam  
Rosenman & Colin  
575 Madison Avenue  
New York, NY 10022-2585

In re Application of  
BOND, Anthony Wayne, et al.  
Application No.: 09/743,950  
PCT No.: PCT/AU99/00595  
Int. Filing Date: 23 July 1999  
Priority Date: 24 July 1998  
Attorney Docket No.: 3135/FBR  
For: INPUT/OUTPUT INTERFACE AND  
DEVICE ABSTRACTION

DECISION ON

PETITIONS UNDER

37 CFR 1.183

AND

37 CFR 1.137(b)

This is a decision on applicants' "Petition Under 37 C.F.R. 1.137(b)," filed in the United States Patent and Trademark Office (USPTO) on 23 July 2002.

### **BACKGROUND**

On 23 July 1999, applicants filed international application PCT/AU99/00595, which claimed a priority date of 24 July 1998. A copy of the international application was communicated to the United States Patent and Trademark Office (USPTO) from the International Bureau on 10 February 2000. A Demand for international preliminary examination, in which the United States was elected, was filed on 24 February 2000, within nineteen months from the priority date. Accordingly, the thirty-month period for paying the basic national fee in the United States expired at midnight on 24 January 2001.

On 12 January 2001, applicants filed a submission for entry into the national stage in the United States which was accompanied by, *inter alia*, the U.S. Basic National Fee.

On 23 February 2001, the USPTO mailed a Notification of Missing Requirements (Form PCT/DO/EO/905) indicating, *inter alia*, that an oath or declaration of the inventors in compliance with 37 CFR 1.497(a)-(b) was required.

On 30 May 2002, the Office mailed a Notification of Abandonment (Form PCT/DO/EO/909) indicating the application went abandoned for failure to timely reply to the Notification of Missing Requirements filed 23 February 2001.

On 27 February 2001, applicants submitted the instant petitions under 37 CFR 1.137(b) and 37 CFR 1.183, which were accompanied by a statement from Shahan Islam.



### DISCUSSION

A petition to revive an abandoned application under 37 CFR 1.137(b) must be filed without intentional delay from the time the application became abandoned and/or applicant first became aware of the abandoned status of the application. A petition under 37 CFR 1.137(b) must be accompanied by (1) a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition was unintentional, (2) the required reply, (3) the petition fee required by law (37 CFR 1.17(m)), and (4) a terminal disclaimer and fee (if the international application was filed prior to June 8, 1995).

Applicants have made the required statement and have paid the petition fee. A terminal disclaimer is not required as the international application was filed on or after 08 June 1995. However, applicants' reply is deficient. The required reply is an oath or declaration in compliance 37 CFR 1.497 and if the inventors refuse to execute the oath or declaration or cannot be reached after a diligent effort, as alleged, a petition under 37 CFR 1.47(b). Applicants have filed a petition for suspension of the rules under 37 CFR 1.183. Applicants have not explained why a petition under 37 CFR 1.47(b) would not satisfy their needs.

### CONCLUSION

For the above reasons, applicants' petition under 37 CFR 1.137(b) is DISMISSED, without prejudice.

Applicants' petition under 37 CFR 1.183 is DISMISSED without prejudice.

The application remains ABANDONED.

If reconsideration on the merits of this petition is desired, a proper response must be filed within TWO (2) MONTHS from the mail date of this decision. Any reconsideration request should include a cover letter entitled "Renewed Petition Under 37 CFR 1.137(b)."

Any further correspondence with respect to this matter should be addressed to the Assistant Commissioner for Patents, Box PCT, Washington, D.C. 20231, with the contents of this letter marked to the attention of the Office of PCT Legal Administration.



Boris Milef  
PCT Legal Examiner  
PCT Legal Administration



Erin M. Pender  
Attorney Advisor  
PCT Legal Administration

Telephone: 703-305-0455  
Facsimile: 703-308-6459

December 2002

January 2003

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to **NOVEMBER 10**

THURSDAY, NOV. 7 311/54

FRIDAY, NOV. 8 312/53

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3152/Note requests

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Revised Client as

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Inventor** : **Anthony Wayne Bond, et al.**  
**Title** : **INPUT/OUTPUT INTERFACE AND DEVICE  
ABSTRACTION**  
**Serial No.** : **09/743,950**  
**Filed** : **January 21, 2001**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Declaration of Samson Helfgott**

I, Samson Helfgott, hereby declare as follows:

1. I am a registered patent attorney in United States Patent and Trademark Office under Registration No. 23,072.
2. Prior to September 2001, I was an officer in the law firm of Helfgott & Karas, P.C., located at 350 Fifth Avenue, New York, NY. On September 1, 2001, Helfgott & Karas, P.C. joined the firm of Rosenman & Colin LLP, moving with all of its personnel, files, clients, and other related material to establish a patent section within the firm of Rosenman & Colin LLP. I joined Rosenman & Colin LLP as a partner.
3. Prior to our arrival at Rosenman & Colin LLP, Mr. Shahan Islam had previously joined the firm and as a partner of the firm was handling intellectual property matters on behalf of his clients.
4. At Helfgott & Karas, P.C. we had a computerized docketing system created by Computer Packages Inc. (CPi) in which was entered and maintained bibliographic information for all of our intellectual property matters. The CPi system contained an electronic docketing system in which docketing for all of the database entries was maintained. Using the CPi system, we generated docket reports on a monthly basis for each attorney. The docketing reports noted all due dates for responses to Office Actions from the USPTO, which were due in the coming month. The CPi system implemented at Helfgott & Karas, P.C. was one that has been widely accepted and is a standard utilized throughout the United States by major corporations and law firms.
5. Upon our entering into Rosenman & Colin LLP, their Information Technology (IT) staff worked with CPi to transfer the database of information from the CPi system utilized at Helfgott & Karas, P.C. onto the Rosenman & Colin LLP's computer network environment. Rosenman & Colin LLP also worked with CPi to make improvements to the CPi system that was being transferred. There was an extended transition period from our entering Rosenman & Colin LLP until the CPi system was fully operational on Rosenman & Colin LLP's computer network in February, 2002. During the transition period, the CPi system utilized at Helfgott & Karas, P.C. was maintained on a stand-alone

computer and information compared against information in the CPi system being implemented on Rosenman & Colin LLP's computer network to be sure all systems and reports were operative. At the end of the transition period, all docketing reports for attorneys generated from the transferred system

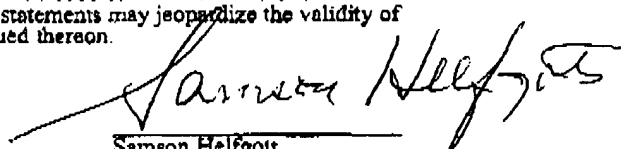
6. Beginning with February, 2002, I began addressing the entry and docketing of information for the patent cases of Mr. Shahan Islam's clients, for which he maintained a manual docketing system. Mr. Islam's secretary, Ms. Cheryl Bajana, was instructed to continue to maintain her manual docketing calendar, and after completing the entries in her docketing calendar, she was instructed to forward any correspondence she received from the USPTO to our docketing department for entry of the docketing information also in the CPi system. As the docketing department received correspondence from the USPTO for entry of docketing information in the CPi system on the cases, the bibliographical information for the patent applications handled by Mr. Islam would also be entered, unless it was previously entered.
7. Attached as Exhibits A is a copy of a page from Mr. Islam's manual docketing calendar. Attached as Exhibit B is a print-out from the CPi docketing system showing the same item as entered in Mr. Islam's manual docketing calendar.
8. At the end of February 2002, Rosenman & Colin LLP merged with the Chicago law firm of Katten Muchin Zavis to become the law firm of Katten Muchin Zavis Rosenman ("KMZ Rosenman"). The responsibility for clients remained the same so that Mr. Islam still retained responsibility for all the clients he previously had and the cases he was handling. Essentially, the working relationship that previously existed under Rosenman & Colin LLP continued under the new merged KMZ Rosenman firm.
9. Around March 2003, Mr. Islam advised me that he would be leaving KMZ Rosenman for another position. He indicated that he was reviewing his cases and asked me to reassign these cases to other attorneys within our patent department. At the time, he indicated he was still trying to complete certain matters before he left.
10. After Mr. Islam left KMZ Rosenman on April 11, 2003, I arranged for our clerical staff to go through all the files in his office and in his file cabinets and to double check to make sure that they were entered into our CPi system. To the extent cases were not yet entered into our system, we then did so. There were quite a number of cases which we found had not been entered into our CPi system and were newly entered as they were found. This procedure took approximately two months as there were a considerable number of files to go through, check the status with the USPTO's database, enter the bibliographic information in the CPi system, enter due dates, contact clients to advise them of new attorney assignments, and other administrative matters that were necessary to transfer responsibility and maintain control of these files. Following Mr. Islam's departure from KMZ Rosenman, the general maintenance department of our firm cleared the files, records, and office supplies contained in Mr. Islam's office as well as his secretarial area in order to prepare the room for occupancy by another attorney. In doing so, some of the calendars and other records kept by Mr. Islam and/or his secretary

Ser. No.: 09/743,950  
Page 3 of 3

were apparently disposed of as the maintenance department personnel were not familiar with the particulars of these items and considered them as old calendars or old records.

11. During June 2003, we were in contact with the Australian associates, F.B. Rice in trying to get a full list of the cases that we were handling for them. As a result of this review, we identified a number of cases, including the present one on which the file contained the decision on petition dated September 9, 2002. Under instructions of Aristocrat Technologies Industries Pty. Ltd. (hereinafter "Aristocrat"), we began collecting the necessary assignment documents, transfer documents, and other evidence needed for us to prepare suitable petitions to attempt revival of these cases. In July 2003, the petitions were filed by the new attorney of record for this application, Mr. Kendall Thiessen.
12. From the time of Mr. Islam's departure from KMZ Rosenman in April, until the petitions were filed, we worked diligently in identifying and individually reviewing each of the files from Mr. Islam, entering the information in the CPI system, following up on each of the cases and with respect to various of Aristocrat's cases that had unintentionally gone abandoned, including the present case, obtaining the necessary documentation and filing the necessary petitions required for revival of the cases.
13. From the time of our discovery of the unintentionally abandoned Aristocrat cases, including the current application, it has been my understanding that the applications were never intended to be abandoned. In my review of the file for this application and the other applications of the client which had gone abandoned, and which Mr. Islam had continuously worked on in attempting to resolve the inventorship issues Aristocrat applications, I found that the applications were unintentionally abandoned. I did not find any indication in the file of any deliberate action by anyone to abandon the Aristocrat applications, including this application.
14. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: Nov. 25, 2003

  
Samson Helfgott

December 2002      January 2003

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Response to MP

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# Country Application

Tuesday, November 25, 2003

<b>Client-Matter:</b> 031035-87559	<b>Country:</b> US	<b>SubCase:</b>
<b>Client:</b> F.B. RICE & CO.	United States of America	
<b>Case Type:</b> PCT	<b>Application Status:</b> Transferred	
<b>Application Number:</b> 09/743,950	<b>Filing Date:</b> 12-Jan-2001	
<b>Publication Number:</b>	<b>Publication Date:</b>	
<b>Patent Number:</b>	<b>Issue Date:</b>	
<b>Parent/PCT Number:</b> PCTAU99/00595	<b>Parent/PCT Date :</b> 23-Jul-1999	
<b>Parent Issue Number:</b>	<b>Parent Issue Date :</b>	
<b>Agent:</b>	<b>Expiration Date:</b>	
<b>Agent Reference No.:</b>	<b>PTA:</b> 0	
	<b>Tax Schedule:</b> LE	
<b>Client Code:</b> FBR	<b>Confirmation #:</b>	
	<b>Related Case:</b> 3135	

## List Of Actions

<b>Action(s) Due</b>	<b>Due Date</b>	<b>Action Taken</b>
FOLLOW UP ON POSTCARD	12-Mar-2001	Due Date
Information Disclosure Strmnt	12-Apr-2001	Due Date
Application Status Check	12-Jul-2002	Due Date
7 Days To Due Date	01-Aug-2003	Reminder
PETITION TO REVIVE DUE	08-Aug-2003	Final

**User ID:** SSEONARA  
**Date Created:** 27-Jun-2003  
**Last Update:** 09-Oct-2003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Inventor** : **Anthony Wayne Bond, et al.**  
**Title** : **INPUT/OUTPUT INTERFACE AND DEVICE  
ABSTRACTION**  
**Serial No.** : **09/743,950**  
**Filed** : **January 12, 2001**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Declaration of Satnarine Seonarain**

I, Satnarine Seonarain, hereby declare as follows:

1. In August 2001, I began working as a docket clerk at the firm of Helfgott & Karas, P.C. Since that period of time, I was responsible for entry of all bibliographic information and docketing information for all patent applications into a computerized docketing system created by Computer Packages Inc. ("CPi").
2. I worked with CPi personnel in making sure that the database was accurate, and on a regular basis I printed out docket reports to be given to individual attorneys containing docketing information on cases where a response was due in the upcoming month. Attached as Exhibit A is a sample of a docket report prepared for a specific attorney (with sensitive/confidential information redacted). Attached as Exhibit B are sample of print-outs for some patent records as generated by the CPi system showing the docketing entered for the applications and the bibliographic information entered.
3. In September 2001, the firm of Helfgott & Karas, P.C. joined the firm of Rosenman & Colin LLP and I along with all the other personnel of Helfgott & Karas, P.C. moved to the offices of Rosenman & Colin LLP. At that time the CPi system was being converted onto Rosenman & Colin LLP's computer network.
4. When I arrived at Rosenman & Colin LLP, the CPi system was not yet fully operational on Rosenman & Colin LLP's computer network – there were report generators and other requirements that needed to be completed for the CPi system to be fully operational on the Rosenman & Colin LLP's computer network. The transitional period from the move to Rosenman & Colin LLP until the CPi system was fully operational and I was satisfied with the system lasted for a few months following our move to Rosenman & Colin LLP. During this transition period, I continued to utilize both the



old CPi system, which was maintained on a stand-alone computer, and the new CPi system on Rosenman & Colin LLP's computer network, in order to be sure that no data was lost. I compared printouts from both systems to ensure that the records as on the new CPi system were accurate. It was not until February 2002, that I was satisfied that the new system could be fully utilized and we then discontinued use of the old CPi system on the stand-alone computer.

5. Once the CPi system was fully operational at Rosenman & Colin LLP, I was instructed to begin entering information on the patent cases for the clients of Mr. Shahan Islam. As there were a vast number of cases, I was usually given docketing information from Mr. Islam or his secretary, Ms. Cheryl Bajana, as they received correspondence from the United States Patent and Trademark Office (USPTO) for the individual cases. When I received such docketing information, I would pull the file for the application and enter the bibliographic information for the application into the CPi system, if the information had not yet been entered, and then I would docket the application for response due to the correspondence received from the USPTO. By way of example attached as Exhibit C is a print-out for one of Mr. Islam's cases showing the docketed due dates. The entry in the CPi system corresponds to the entry made in the docketing calendar shown to me as Ms. Bajana's docketing calendar for patent applications handled by Mr. Islam.
6. In the middle of April, 2003, when Mr. Islam left the firm (now named Katten Muchin Zavis Rosenman following a merger with another firm in 2002), we began to review all of the files for the patent applications handled by Mr. Islam. Files were located in Mr. Islam's office, his file cabinets, the local active storage room and the other storage areas of the firm containing patent files. On a case by case basis, I began reviewing all of the files for the patent applications handled by Mr. Islam. For those applications for which bibliographic information and docketing information had not yet been entered in the CPi system, I began entering the bibliographic information and entered any docketing information for any outstanding Office Actions. To do so, it was necessary to review each file to determine its current status, whether there was any docketing information required to be entered, verifying the current status with the USPTO, and being sure that the information being entered was accurate and verifiable. Because of the large number of cases involved, and my existing workload, such entry and review was at a slow pace, but was actively pursued each day. Of course, as new Office Actions came in for applications formerly handled by Mr. Islam, the bibliographical and docketing information for that application was immediately entered and the case forwarded to a patent attorney for prosecution.
7. In June 2003, I was given the present case for entry into the database. The bibliographic information as was entered at that time included docketing information. Attached as Exhibit D is a current printout showing information contained in the CPi system's database relating to this case.
8. I do not recall anyone ever giving me the decision on the petition dated September 9, 2002 for docketing entry in connection with this application. The CPi system did not have any entries for docketing in connection with

the this case. The file jacket also does not include any of my notations for docketing. In view of this, I do not believe I received the decision on the petition from either Mr. Islam or Ms. Bajana for entry of the docketing information in the CPi system.

9. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: 11/28/03.

Satnarine Seonarain  
Satnarine Seonarain

Sunday, October 26, 2003

# Patent Due Date List by Date

01-Oct-2003 to 31-Oct-2003

Page 11

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
01-Oct-2003 Due Date	Application Status Check	031035-00051 / United States of America <i>Related Case: 3318</i> <i>Old Client Code: FBR</i> <i>Patent: GAMING SECURITY SYSTEM</i>	Pending F.B. RICE & CO.	10- [REDACTED]	01-Apr-2002	HW
01-Oct-2003 Due Date	Application Status Check	031035-00052 / United States of America <i>Related Case: 3320</i> <i>Old Client Code: FBR</i> <i>Patent: GAMING CONSOLE AND SYSTEM HAVING DYNAMIC FEATURE GAME</i>	Pending F.B. RICE & CO.	10- [REDACTED]	01-Apr-2002	HW
01-Oct-2003 Due Date	Did You Report O/A To Client US-Final Office Action	031035-87549 / United States of America <i>Related Case: 2741</i> <i>Old Client Code: FBR</i> <i>Patent: DISTRIBUTED GAME ACCELERATOR</i>	Pending F.B. RICE & CO.	09- [REDACTED]	06-Aug-1999	HW
01-Oct-2003 Due Date	Did You Report O/A To Client US-3 Month Office Action	031035-87577 / United States of America <i>Related Case: 3265</i> <i>Old Client Code: FBR</i> <i>Patent: GAMING VIDEO OVERLAY</i>	Pending F.B. RICE & CO.	10- [REDACTED]	04-Dec-2001	HW
01-Oct-2003 Due Date	Did You Report O/A To Client US-3 Month Office Action	032878-00062 / United States of America <i>Related Case: 3047</i> <i>Old Client Code: FLK</i> <i>Patent: METHOD AND APPARATUS FOR WEB INFORMATION EXTRACTION SERVICE</i>	Pending FIRST LAW OFFICES OF KOREA	09- [REDACTED]	14-Jul-2000	HW
01-Oct-2003 Due Date	Information Disclosure Stmt	100788-00062 / United States of America <i>Related Case: 20464</i> <i>Old Client Code: SAIC</i> <i>Patent: MANDREL-HOLDING SLIDE OR SLEEVE POD FOR NUMERICAL-CONTROL MACHINE TOOLS</i>	Pending DRAGOTTI & ASSOCIATI		01-Jul-2003	HW

**REDACTED**

Sunday, October 26, 2003

# Patent Due Date List By Date

Page 2

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/Sub-Case Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
01-Oct-2003 Due Date	Did You Report O/A To Client US-Final Office Action	100799-00069 / A United States of America <i>Related Case: 18934</i> <i>Old Client Code: KAM</i> <i>Patent: WHEEL DRIVE UNIT</i>	Pending KAMODA INTERNATIONAL, P.A.O.	10/ [REDACTED]	05-Nov-2002	HW
01-Oct-2003 Due Date	Did You Report O/A To Client US-3 Month Office Action	100809-16206 / United States of America <i>Related Case: 18056</i> <i>Old Client Code: SCEI</i> <i>Patent: RECORDING MEDIUM, METHOD OF USING A COMPUTER AND COMPUTER FOR EXECUTING ROLE-PLAYING GAMES</i>	Pending Sony Computer Entertainment Inc.	09/ [REDACTED]	10-Jan-2001	HW
01-Oct-2003 Due Date	Did You Report O/A To Client US-3 Month Office Action	100809-16276 / United States of America <i>Related Case: 19027</i> <i>Old Client Code: SCEY</i> <i>Patent: METHOD RELATED TO OBJECT CONTROL OF VIDEO GAME</i>	Pending Sony Computer Entertainment Inc.	09/ [REDACTED]	02-Oct-2001	HW
01-Oct-2003 Due Date	Did You Report O/A To Client US-3 Month Office Action	101353-00050 / United States of America <i>Related Case: 3268</i> <i>Old Client Code: ENRI</i> <i>Patent: A PROCESS FOR OPTIMAL ECONOMIC EFFICIENCY IN POSTAL OPERATIONS</i>	Pending JAMES J. ENRIGHT	10/ [REDACTED]	13-Nov-2002	HW DD
02-Oct-2003 Due Date	Did You Report O/A To Client US-Final Office Action	053735-87545 / United States of America <i>Related Case: 2754</i> <i>Old Client Code: MEIN</i> <i>Patent: FLUIDIZED-BED REACTOR FOR THE OXYCHLORINATION OF ETHYLENE, OXYGEN AND HCI ACCELERATOR</i>	Pending MEINKE, DABRINGHAUS UND PARTNER GBR	09/ [REDACTED]	12-Oct-1999	HW

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Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
02-Oct-2003 Due Date	Information Disclosure Stmt	100700-00106 / United States of America <i>Related Case: 20480</i> <i>Old Client Code: HERR</i> <i>Patent: REMOVABLE EDIBLE LABEL BASED ON COLLAGEN FOR LABELLING FOOD PRODUCTS</i>	Pending HERRERO & ASOCIADOS	60/	02-Jul-2003	HW
03-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	077695-87544 / United States of America <i>Related Case: 3262</i> <i>Old Client Code: STULL</i> <i>Patent: SNAP-ON PLUG WITH LOCKING COVER</i>	Pending STULL TECHNOLOGIES, INC.	60/	03-Apr-2003	HW DD
03-Oct-2003 Due Date	Filing Reminder File Application	077695-87544 / United States of America <i>Related Case: 3262</i> <i>Old Client Code: STULL</i> <i>Patent: SNAP-ON PLUG WITH LOCKING COVER</i>	Pending STULL TECHNOLOGIES, INC.	60/	03-Apr-2003	HW DD
03-Oct-2003 Due Date	Filing Reminder File Application	077695-87563 / United States of America <i>Related Case: 3293</i> <i>Old Client Code: STULL</i> <i>Patent: SNAP-ON/OFF 360 BEAD CAP</i>	Pending STULL TECHNOLOGIES, INC.	60/	03-Apr-2003	HW DD
03-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	077695-87563 / United States of America <i>Related Case: 3293</i> <i>Old Client Code: STULL</i> <i>Patent: SNAP-ON/OFF 360 BEAD CAP</i>	Pending STULL TECHNOLOGIES, INC.	60/	03-Apr-2003	HW DD
03-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	077695-87564 / United States of America <i>Related Case: 3294</i> <i>Old Client Code: STULL</i> <i>Patent: VENTING SYSTEM FOR CLOSURES</i>	Pending STULL TECHNOLOGIES, INC.	60/	03-Apr-2003	HW DD

Sunday, October 26, 2003

## Patent Due Date List By Date

Page 26

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
03-Oct-2003 Due Date	Filing Reminder File Application	077695-87564 / United States of America <i>Related Case: 3294</i> <i>Old Client Code: STULL</i> <i>Patent: VENTING SYSTEM FOR CLOSURES</i>	Pending STULL TECHNOLOGIES, INC.	60/ [REDACTED]	03-Apr-2003	HW DD
03-Oct-2003 Due Date	Filing Reminder File Application	077695-87570 / United States of America <i>Related Case: 3300</i> <i>Old Client Code: STULL</i> <i>Patent: III FINGER LOCK-TOP</i>	Pending STULL TECHNOLOGIES, INC.	60/ [REDACTED]	03-Apr-2003	HW DD
03-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	077695-87570 / United States of America <i>Related Case: 3300</i> <i>Old Client Code: STULL</i> <i>Patent: III FINGER LOCK-TOP</i>	Pending STULL TECHNOLOGIES, INC.	60/ [REDACTED]	03-Apr-2003	HW DD
03-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	077695-87571 / United States of America <i>Related Case: 3301</i> <i>Old Client Code: STULL</i> <i>Patent: BREAK-AWAY CLUCTH SYSTEM</i>	Pending STULL TECHNOLOGIES, INC.	60/ [REDACTED]	03-Apr-2003	HW DD
03-Oct-2003 Due Date	Filing Reminder File Application	077695-87571 / United States of America <i>Related Case: 3301</i> <i>Old Client Code: STULL</i> <i>Patent: BREAK-AWAY CLUCTH SYSTEM</i>	Pending STULL TECHNOLOGIES, INC.	60/ [REDACTED]	03-Apr-2003	HW DD

Page: 5

Due Date Indicator	Action Due Action Type	Client-Matter/Sub-Case Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
04-Oct-2003 Due Date	Did You Report O/A To Client US-3 Month Office Action	032878-87728 / United States of America <i>Related Case:</i> 3094 <i>Old Client Code:</i> FLK	Pending FIRST LAW OFFICES OF KOREA	09[REDACTED]	29-Sep-2000	HW
<i>Patent:</i> METHOD AND APPARATUS FOR CONTROLLING A SEMICONDUCTOR FABRICATION TEMPERATURE						
04-Oct-2003 Final	6 Month Final US-3 Month Office Action	043370-88360 / United States of America <i>Related Case:</i> 3051 <i>Old Client Code:</i> ISC	Pending INSTILE STONE CORP.	09[REDACTED]	18-Oct-2001	HW
<i>Patent:</i> METHOD AND APPARATUS FOR CREATING MOSAIC STRUCTURES						
04-Oct-2003 Due Date	Application Status Check	056730-00056 / United States of America <i>Related Case:</i> 3346 <i>Old Client Code:</i> ASSIA	Pending NAOMI ASSIA LAW OFFICES	10[REDACTED]	04-Apr-2002	HW
<i>Patent:</i> DIGITAL OFFSET PRINTING REGISTRATION						
04-Oct-2003 Due Date	Chapter II Due (Nat'l Filing)	100502-14715 / Patent Cooperation Treaty <i>Related Case:</i> 18124 <i>Old Client Code:</i> MULR	Pending ISSAC WURZBURGER	PCTUS01/10920	04-Apr-2001	HW DD
<i>Patent:</i> STETHOSCOPE SHIELD DISPENSER						
04-Oct-2003 Due Date	Tax 3.5	100700-09111 / United States of America <i>Related Case:</i> 15817 <i>Old Client Code:</i> HERR	Granted HERRERO & ASOCIADOS	09[REDACTED] 6,044,754	27-Jan-1999 04-Apr-2000	HW
<i>Patent:</i> COFFEE MAKER FILTER HOLDER SYSTEM						

Page: 6

01-01-2003 To 31-01-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
05-Oct-2003 Due Date	FOLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD	100787-00050 / United States of America <i>Related Case: 20450</i> <i>Old Client Code: ROGL</i> <i>Patent: HANGING SUPPORT</i>	Pending SERVICIOS ROGEL C.A.		05-Aug-2003	HW DD
07-Oct-2003 Due Date	3 Month Due Date US-3 Month Office Action	043520-00001 / United States of America <i>Related Case: 2709</i> <i>Old Client Code: IBG</i> <i>Patent: IDENTITY VERIFICATION METHOD USING A CENTRAL BIOMETRIC AUTHORITY</i>	Pending INTERNATIONAL BIOMETRIC GROUP, LLC	09	14-May-1999	HW
07-Oct-2003 Final	Foreign Filing Due Foreign Filing	077695-00067 / United States of America <i>Related Case: 3357</i> <i>Old Client Code: STULL</i> <i>Patent: POP-OUT LOCKING PLUG</i>	Pending STULL TECHNOLOGIES, INC.		07-Oct-2002	HW DD
07-Oct-2003 Final	Filing Due File Application	077695-00067 / United States of America <i>Related Case: 3357</i> <i>Old Client Code: STULL</i> <i>Patent: POP-OUT LOCKING PLUG</i>	Pending STULL TECHNOLOGIES, INC.		07-Oct-2002	HW DD
07-Oct-2003 Final	LETTER-C DUE TO CLIENT PCT-REMINDERS	077695-00076 / Patent Cooperation Treaty <i>Related Case: 3329</i> <i>Old Client Code: STULL</i> <i>Patent: LOCKING KEY CAP</i>	Pending STULL TECHNOLOGIES, INC.	PCTUS03/14221	07-May-2003	HW DD



Sunday, October 26, 2008

# Patent Due Date List By Date

Page 37

01-Oct-2008 To 31-Oct-2008

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
07-Oct-2003	FOLLOW UP ON POSTCARD	100700-00107 /	Pending		07-Aug-2003	HW
Due Date	FOLLOW UP ON POSTCARD	United States of America	HERRERO & ASOCIADOS			
		<i>Related Case: 20534</i>				
		<i>Old Client Code: HERR</i>				
		<i>Patent: MOTOR VEHICLE TRANSMISSION</i>				
07-Oct-2003	FOLLOW UP ON POSTCARD	100788-00063 /	Pending		07-Aug-2003	HW
Due Date	FOLLOW UP ON POSTCARD	United States of America	DRAGOTTI & ASSOCIATI			
		<i>Related Case: 20523</i>				
		<i>Old Client Code: SAIC</i>				
		<i>Patent: CONTAINERS FOR DIFFERENT USES IN DIFFERENT ENVIROMENTS</i>				
07-Oct-2003	INSTRUCTIONS TO Follow Up Date	100809-16144 /	Pending	2,314,057	18-Jul-2000	HW
Due Date	INSTRUCTIONS TO AGENT DUE	Canada	Sony Computer Entertainment Inc.			DD
		<i>Related Case: 17307</i>				
		<i>Old Client Code: SCED</i>				
		<i>Patent: CASE ASSEMBLY INCLUDING LEGS AND CAPS</i>				
08-Oct-2003	Issue Fee Due(2 1/2 Months)	100809-16174 /	Pending	09	18-Oct-2000	HW
Due Date	US-Allowance	United States of America	Sony Computer Entertainment Inc.			JD
		<i>Related Case: 17531</i>				
		<i>Old Client Code: SCED</i>				
		<i>Patent: DISK DEVICE</i>				
09-Oct-2003	DUE DATE-ELECTION	056730-00063 /	Pending	09	25-May-2000	HW
Due Date	US-Restriction	United States of America	NAOMI ASSIA LAW OFFICES			
		<i>Related Case: 3372</i>				
		<i>Old Client Code: ASSIA</i>				
		<i>Patent: REAL TIME (ALL THE TIME) OPERATION SUPPORT SYSTEM AND METHOD</i>				
10-Oct-2003	Did You Report O/A To Client	100799-00063 /	Pending	10	09-Apr-2002	HW
Due Date	US-Final Office Action	United States of America	KAMODA INTERNATIONAL, P.A.O.			
		<i>Related Case: 19635</i>				
		<i>Old Client Code: KAM</i>				
		<i>Patent: TAPERED ROLLER BEARING</i>				

Sunday, October 26, 2003

# Patent Due Date List By Date

Page: 3

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
11-Oct-2003	Did You Report O/A To Client	100759-00050 / United States of America	Pending	10 [REDACTED]	26-Sep-2002	HW
Due Date	US-3 Month Office Action		DR. REINHOLD COHN & PARTNERS			
		<i>Related Case: 19973</i>				
		<i>Old Client Code: COHN</i>				
		<i>Patent: AIR LAUNCH OF PAYLOAD CARRING VEHICLE FROM A TRANSPORT AIRCRAFT</i>				
11-Oct-2003	INSTRUCTIONS TO Follow Up Date	100809-16223 / Taiwan	Pending	90 [REDACTED]	27-Feb-2001	HW
Due Date	INSTRUCTIONS TO AGENT DUE		Sony Computer Entertainment Inc.			DD
		<i>Related Case: 18068</i>				
		<i>Old Client Code: SCED</i>				
		<i>Patent: ELECTRONIC DEVICE AND SHIELD</i>				
11-Oct-2003	Deferred Exam	100809-16299 / Malaysia	Pending	PI [REDACTED]	11-Oct-2001	HW
Due Date			Sony Computer Entertainment Inc.			DD
		<i>Related Case: 18681</i>				
		<i>Old Client Code: SCED</i>				
		<i>Patent: OPTICAL PICKUP POSITION CONTROL METHOD</i>				
11-Oct-2003	REQUEST FOR EXAM DUE	100809-16299 / Malaysia	Pending	PI [REDACTED]	11-Oct-2001	HW
Final			Sony Computer Entertainment Inc.			DD
		<i>Related Case: 18681</i>				
		<i>Old Client Code: SCED</i>				
		<i>Patent: OPTICAL PICKUP POSITION CONTROL METHOD</i>				
11-Oct-2003	3 Month Due Date	310105-00050 / United States of America	Pending	10 [REDACTED]	20-Jun-2002	HW
Due Date	US-3 Month Office Action		BECK & ROSSING			
		<i>Related Case: 3340</i>				
		<i>Old Client Code: BECK</i>				
		<i>Patent: METHOD AND DEVICE FOR DETECTION OF SUBSTANCES WITHIN VITAL TISSUE</i>				
12-Oct-2003	FOLLOW UP ON POSTCARD	100799-00080 / A	Pending		12-Aug-2003	HW
Due Date	FOLLOW UP ON POSTCARD	United States of America	KAMODA INTERNATIONAL, P.A.O.			
		<i>Related Case: 19004</i>				
		<i>Old Client Code: KAM</i>				
		<i>Patent: ROTATION SUPPORT APPARATUS FOR COMPRESSOR PULLEY</i>				

Page 9

01-Oct-2003 01:50:15 PM

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
12-Oct-2003 Final	REQUEST FOR EXAM DUE	100809-00094 / Malaysia <i>Related Case: 18687</i> <i>Old Client Code: SCED</i> <i>Patent: OPTICAL PICKUP POSITION CONTROL METHOD</i>	Pending Sony Computer Entertainment Inc.	P [REDACTED]	12-Oct-2001	HW DD
12-Oct-2003 Due Date	Deferred Exam	100809-00094 / Malaysia <i>Related Case: 18687</i> <i>Old Client Code: SCED</i> <i>Patent: OPTICAL PICKUP POSITION CONTROL METHOD</i>	Pending Sony Computer Entertainment Inc.	P [REDACTED]	12-Oct-2001	HW DD
12-Oct-2003 Final	REQUEST FOR EXAM DUE	100809-00100 / Malaysia <i>Related Case: 18680</i> <i>Old Client Code: SCED</i> <i>Patent: DRIVE DEVICE</i>	Pending Sony Computer Entertainment Inc.	P [REDACTED]	12-Oct-2001	HW DD
12-Oct-2003 Due Date	Deferred Exam	100809-00100 / Malaysia <i>Related Case: 18680</i> <i>Old Client Code: SCED</i> <i>Patent: DRIVE DEVICE</i>	Pending Sony Computer Entertainment Inc.	P [REDACTED]	12-Oct-2001	HW DD
13-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) US-Allowance	031035-87567 / United States of America <i>Related Case: 3193</i> <i>Old Client Code: FBR</i> <i>Patent: IMPROVED CARD GAME</i>	Pending F.B. RICE & CO.	09 [REDACTED]	02-May-2001	HW JD
13-Oct-2003 Due Date	FOLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD	077695-00081 / P United States of America <i>Related Case: 20573</i> <i>Old Client Code: STULL</i> <i>Patent: SOFT TEAR-AWAY SAFETY TIP</i>	Pending STULL TECHNOLOGIES, INC.		13-Aug-2003	HW DD

Page: 10

01-Oct-2003 10 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
14-Oct-2003 Final	Issue Fee Due US-Allowance	031035-00053 / United States of America <i>Related Case: 3238</i> <i>Old Client Code: FBR</i> <i>Patent: GAMING MACHINE WITH PLAYER CHOICE BONUS GAMES</i>	Pending F.B. RICE & CO.	09/ [REDACTED]	25-Jul-2001	HW JD
14-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	100668-00094 / P United States of America <i>Related Case: 20354</i> <i>Old Client Code: JWIL</i> <i>Patent: PET MAT</i>	Pending JONATHAN WILLINGER	60/ [REDACTED]	14-Apr-2003	HW DD
14-Oct-2003 Due Date	Filing Reminder File Application	100668-00094 / P United States of America <i>Related Case: 20354</i> <i>Old Client Code: JWIL</i> <i>Patent: PET MAT</i>	Pending JONATHAN WILLINGER	60/ [REDACTED]	14-Apr-2003	HW DD
14-Oct-2003 Final	Issue Fee Due US-Allowance	100700-00074 / United States of America <i>Related Case: 19730</i> <i>Old Client Code: HERR</i> <i>Patent: ELECTRICAL AIR FRESHNER</i>	Pending HERRERO & ASOCIADOS	29/ [REDACTED]	14-May-2002	HW JD
14-Oct-2003 Due Date	FOLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD	100788-00064 / United States of America <i>Related Case: 20557</i> <i>Old Client Code: SAIC</i> <i>Patent: BOOK</i>	Pending DRAGOTTI & ASSOCIATI	[REDACTED]	14-Aug-2003	HW
15-Oct-2003 Due Date	FOLLOW-UP ON PETITION FILED	028175-87527 / United States of America <i>Related Case: 2599</i> <i>Old Client Code: D&amp;F</i> <i>Patent: RESOLUTION ADJUSTING APPARATUS</i>	Pending DEEP & FAR	09/ [REDACTED]	01-Jun-1998	HW LSC

2015-2016

Page: 12

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
16-Oct-2003 Due Date	Filing Reminder File Application	077695-00052 / United States of America	Pending STULL TECHNOLOGIES, INC.	60/ [REDACTED]	16-Apr-2003	HW DD
<p><i>Related Case: 3324</i>  <i>Old Client Code: STULL</i>  <i>Patent: CLOSURE WITH TRIANGULAR POUR SPOUT</i></p>						
16-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	077695-00052 / United States of America	Pending STULL TECHNOLOGIES, INC.	60/ [REDACTED]	16-Apr-2003	HW DD
<p><i>Related Case: 3324</i>  <i>Old Client Code: STULL</i>  <i>Patent: CLOSURE WITH TRIANGULAR POUR SPOUT</i></p>						
16-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) US-Allowance	100700-09142 / United States of America	Pending HERRERO & ASOCIADOS	09/ [REDACTED]	01-Feb-2001	HW JD
<p><i>Related Case: 18137</i>  <i>Old Client Code: HERR</i>  <i>Patent: PROCESS FOR PRODUCING ICE CREAMS AND MACHINE FOR IMPLEMENTING SUCH PROCESS</i></p>						
17-Oct-2003 Due Date	FOLLOW-UP ON PETITION FILED	031035-87575 / United States of America	Pending F.B. RICE & CO.	09/ [REDACTED]	22-Aug-2001	HW
<p><i>Related Case: 3243</i>  <i>Old Client Code: FBR</i>  <i>Patent: GAMING MACHINE WITH MULTI-DIMENSIONAL SYMBOLS</i></p>						
17-Oct-2003 Due Date	Information Disclosure Stmtnt	100799-00079 / United States of America	Pending KAMODA INTERNATIONAL, P.A.O.		17-Jul-2003	HW
<p><i>Related Case: 20521</i>  <i>Old Client Code: KAM</i>  <i>Patent: ELECTRIC-POWERED POWER STEERING APPARATUS</i></p>						
18-Oct-2003 Due Date	Tax 3.5	100794-11093 / United States of America	Granted Fujitsu Ltd.	209235 6052037	10-Dec-1998 18-Apr-2000	HW
<p><i>Related Case: 15702</i>  <i>Old Client Code: FUJIA</i>  <i>Patent: MODULATION METHOD AND APPARATUS</i></p>						

01-Oct-2003 To 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/Sub-Case Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
19-Oct-2003	Issue Fee Due(2 1/2 Months)	100809-16300 / United States of America	Pending	09/ [REDACTED]	24-Aug-2001	HW
Due Date	US-Allowance		Sony Computer Entertainment Inc.			JD

*Related Case:* 18950*Old Client Code:* SCEY*Patent:* OPTICAL DISC APPARATUS AND ADJUSTING METHOD THEREFORE AND ENTERTAINMENT APPARATUS

21-Oct-2003	FOLLOW UP ON POSTCARD	077695-00083 / P United States of America	Pending		21-Aug-2003	HW
Due Date	FOLLOW UP ON POSTCARD		STULL TECHNOLOGIES, INC.			DD

*Related Case:* 20600*Old Client Code:* STULL*Patent:* DISPOSABLE LID AND UTENSIL

21-Oct-2003	Information Dis Follow Up Date	100439-00050 / A United States of America	Pending	10/ [REDACTED]	21-Apr-2003	HW
Due Date	Information Disclosure Stmt		JAMES KORPAI			EM

*Related Case:* 16830*Old Client Code:* KORP*Patent:* SCREEN BORDER

21-Oct-2003	Issue Fee Due(2 1/2 Months)	100809-00167 / A United States of America	Pending	10/ [REDACTED]	12-Mar-2002	HW
Due Date	US-Allowance		Sony Computer Entertainment Inc.			JD

*Related Case:* 17307*Old Client Code:* SCED*Patent:* CASE ASSEMBLY INCLUDING LEGS AND CAPS

22-Oct-2003	3 Month Due Date	100788-00053 / United States of America	Pending	10/ [REDACTED]	18-Jan-2002	HW
Due Date	US-3 Month Office Action		DRAGOTTI & ASSOCIATI			

*Related Case:* 19368*Old Client Code:* SAIC*Patent:* STRUCTURE FOR LIMITING MOVEMENTS OF THE LEG-PIECE OF A MOTORCYCLE BOOT

Page: 14

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
23-Oct-2003 Due Date	Foreign Filing Reminder Foreign Filing	077695-87578 / United States of America	Pending STULL TECHNOLOGIES, INC.	60/ [REDACTED]	23-Apr-2003	HW DD
		<i>Related Case: 3308</i> <i>Old Client Code: STULL</i> <i>Patent: STULL GUARD</i>				
23-Oct-2003 Due Date	Filing Reminder File Application	077695-87578 / United States of America	Pending STULL TECHNOLOGIES, INC.	60/ [REDACTED]	23-Apr-2003	HW DD
		<i>Related Case: 3308</i> <i>Old Client Code: STULL</i> <i>Patent: STULL GUARD</i>				
23-Oct-2003 Due Date	Information Dis Follow Up Date Information Disclosure Stmt	100668-00092 / D United States of America	Pending JONATHAN WILLINGER	29/ [REDACTED]	23-Apr-2003	HW
		<i>Related Case: 17939</i> <i>Old Client Code: JWIL</i> <i>Patent: POLYHEDRAL SKELETAL ANIMAL TOY</i>				
23-Oct-2003 Final	Issue Fee Due US-Allowance	100809-16174 / United States of America	Pending Sony Computer Entertainment Inc.	09/ [REDACTED]	18-Oct-2000	HW JD
		<i>Related Case: 17531</i> <i>Old Client Code: SCED</i> <i>Patent: DISK DEVICE</i>				
24-Oct-2003 Due Date	Information Dis Follow Up Date Information Disclosure Stmt	100788-00058 / United States of America	Pending DRAGOTTI & ASSOCIATI	10/ [REDACTED]	24-Apr-2003	HW EM
		<i>Related Case: 20352</i> <i>Old Client Code: SAIC</i> <i>Patent: MOULD FOR CURVED PANELS</i>				



Page: 15

Oct 2005 to Oct 2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
24-Oct-2003 Final	LETTER-D DUE TO CLIENT PCT-REMINDERS	100792-00057 / Patent Cooperation Treaty <i>Related Case:</i> 18829 <i>Old Client Code:</i> ELBX	Pending ELBEX VIDEO LTD	PCTUS02/22187	12-Jul-2002	HW DD
<i>Patent:</i> METHOD AND APPARATUS FOR CONNECTING A TELEVISION INTERPHONE MONITOR SYSTEM TO A CONCERGE STATION OVER THE INTERNET						
25-Oct-2003 Final	INVITATION TO CORRECT DEFECTS	100717-00066 / A Patent Cooperation Treaty <i>Related Case:</i> 19908 <i>Old Client Code:</i> GRAT	Pending GUNTER GRATTINGER, ESQ.	PCTUS03/26759	26-Aug-2003	HW DD
<i>Patent:</i> PROCEDURE AND DEVICE FOR CLEANING FLOORS WITH FLAT CLEANING MOPS						
25-Oct-2003 Due Date	Information Dis Follow Up Date Information Disclosure Stmt	100809-00164 / United States of America <i>Related Case:</i> 19380 <i>Old Client Code:</i> SCEY	Pending Sony Computer Entertainment Inc.	100809-00164	25-Jan-2002	HW
<i>Patent:</i> INFORMATION ENTRY METHOD						
26-Oct-2003 Due Date	FOLLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD	031035-00061 / United States of America <i>Related Case:</i> 20545 <i>Old Client Code:</i> FBR	Pending F.B. RICE & CO.		26-Aug-2003	HW
<i>Patent:</i> MULTI-PLATFORM GAMING ARCHITECTURE						
26-Oct-2003 Reminder	1 Month to Due Date US-Final Office Action	031035-87549 / United States of America <i>Related Case:</i> 2741 <i>Old Client Code:</i> FBR	Pending F.B. RICE & CO.	031035-87549	06-Aug-1999	HW
<i>Patent:</i> DISTRIBUTED GAME ACCELERATOR						

Page: 16

31-00120-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
26-Oct-2003 Reminder	Fee Due in 1 Month US-Allowance	056730-88848 / United States of America <i>Related Case: 3033</i> <i>Old Client Code: ASSIA</i> <i>Patent: SYSTEM AND METHOD FOR STREAMING REAL TIME ANIMATION DATA FILE</i>	Pending NAOMI ASSIA LAW OFFICES	05 [REDACTED]	22-Jun-2000	HW JD
26-Oct-2003 Reminder	Reminder-Design DESIGN FOREIGN FILING DUE	100668-00097 / United States of America <i>Related Case: 20470</i> <i>Old Client Code: JWIL</i> <i>Patent: HANDLE FOR A DOUBLE-SIDED GROOMING TOOL</i>	Pending JONATHAN WILLINGER	29/ [REDACTED]	26-Jun-2003	HW DD EM
26-Oct-2003 Due Date	FOLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD <i>Related Case: 17939</i> <i>Old Client Code: JWIL</i> <i>Patent: SPHERICAL AND SPHERICAL POLYHEDRAL SKELETAL ANIMAL TOYS</i>	100668-00099 / E United States of America	Pending JONATHAN WILLINGER		26-Aug-2003	HW
26-Oct-2003 Reminder	1 Month to Due Date US-Final Office Action <i>Related Case: 18934</i> <i>Old Client Code: KAM</i> <i>Patent: WHEEL DRIVE UNIT</i>	100799-00069 / A United States of America	Pending KAMODA INTERNATIONAL, P.A.O.	10/ [REDACTED]	05-Nov-2002	HW
26-Oct-2003 Reminder	1 Month to Due Date US-3 Month Office Action <i>Related Case: 18056</i> <i>Old Client Code: SCEI</i> <i>Patent: RECORDING MEDIUM, METHOD OF USING A COMPUTER AND COMPUTER FOR EXECUTING ROLE-PLAYING GAMES</i>	100809-16206 / United States of America	Pending Sony Computer Entertainment Inc.	09/ [REDACTED]	10-Jan-2001	HW

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Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
26-Oct-2003 Reminder	Fee Due in 2 Months US-Allowance	100811-16891 / United States of America	Pending TAKASU PATENT OFFICE	09/ [REDACTED]	08-Feb-2000	HW JD
		<i>Related Case:</i> 16891 <i>Old Client Code:</i> TKU <i>Patent:</i> EXERCISE ASSISTING METER				
27-Oct-2003 Reminder	1 Month to Due Date US-3 Month Office Action	031035-87577 / United States of America	Pending F.B. RICE & CO.	10/ [REDACTED]	04-Dec-2001	HW
		<i>Related Case:</i> 3265 <i>Old Client Code:</i> FBR <i>Patent:</i> GAMING VIDEO OVERLAY				
27-Oct-2003 Reminder	EXAMINATION - REMINDER REQUEST FOR EXAMINATION	100593-15265 / Canada	Pending COLTENE/WHALEDENT, INC.	2,345,812	27-Oct-1999	HW DD
		<i>Related Case:</i> 14307 <i>Old Client Code:</i> WHAL <i>Patent:</i> COMPACT CASSETTE FOR DENTAL INSTRUMENTS				
27-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) US-Allowance	100700-00058 / United States of America	Pending HERRERO & ASOCIADOS	10/ [REDACTED]	15-Mar-2002	HW JD
		<i>Related Case:</i> 19542 <i>Old Client Code:</i> HERR <i>Patent:</i> GRID FLOOR				
27-Oct-2003 Due Date	Issue Fee Due(2 1/2 Months) US-Allowance	100788-00051 / United States of America	Pending DRAGOTTI & ASSOCIATI	10/ [REDACTED]	18-Jan-2002	HW JD
		<i>Related Case:</i> 19190 <i>Old Client Code:</i> SAIC <i>Patent:</i> IMPROVED METHOD FOR THE PRODUCTION OF SLABS OF CERAMIC MATERIAL				
28-Oct-2003 Due Date	FOLLOW-UP ON PETITION FILED	031035-00060 / United States of America	Pending F.B. RICE & CO.	09/ [REDACTED]	10-May-1999	HW
		<i>Related Case:</i> 2703 <i>Old Client Code:</i> FBR <i>Patent:</i> GAMING MACHINE				

Page: 18

01-Oct-2003 11 31-Oct-2003

Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
28-Oct-2003 Final	Issue Fee Due US-Allowance	031035-87567 / United States of America <i>Related Case: 3193</i> <i>Old Client Code: FBR</i> <i>Patent: IMPROVED CARD GAME</i>	Pending F.B. RICE & CO.	09/ [REDACTED]	02-May-2001	HW JD
28-Oct-2003 Reminder	1 Month to Due Date US-3 Month Office Action	032878-00062 / United States of America <i>Related Case: 3047</i> <i>Old Client Code: FLK</i> <i>Patent: METHOD AND APPARATUS FOR WEB INFORMATION EXTRACTION SERVICE</i>	Pending FIRST LAW OFFICES OF KOREA	09/ [REDACTED]	14-Jul-2000	HW
28-Oct-2003 Reminder	Fee Due in 1 Month US-Allowance	032878-87706 / United States of America <i>Related Case: 3105</i> <i>Old Client Code: FLK</i> <i>Patent: METHOD AND APPARATUS FOR OBTAINING A HIGH RESOLUTION IMAGE</i>	Pending FIRST LAW OFFICES OF KOREA	09/ [REDACTED]	20-Oct-2000	HW JD
28-Oct-2003 Due Date	Information Disclosure Stmt	056730-00068 / United States of America <i>Related Case: 20548</i> <i>Old Client Code: ASSIA</i> <i>Patent: A SYSTEM ARCHITECTURE AND A MWTHOD FOR CUSTOMER FLOW MANAGEMENT FILED OF THE INVENTION</i>	Pending NAOMI ASSIA LAW OFFICES		28-Jul-2003	HW DD
28-Oct-2003 Due Date	FOLLOW UP ON POSTCARD FOLLOW UP ON POSTCARD	100456-00052 / United States of America <i>Related Case: 18560</i> <i>Old Client Code: EZE</i> <i>Patent: DISPOSABLE GARBAGE BAG</i>	Pending E.Z.E. MACHINE COMPANY		28-Aug-2003	HW

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Due Date Indicator	Action Due Action Type	Client-Matter/SubCountry	Status Client	App Number Pat Number	App Date Iss Date	Attys
28-Oct-2003 Reminder	1 Month to Due Date US-3 Month Office Action	101353-00050 / United States of America <i>Related Case: 3268</i> <i>Old Client Code: ENRI</i> <i>Patent: A PROCESS FOR OPTIMAL ECONOMIC EFFICIENCY IN POSTAL OPERATIONS</i>	Pending JAMES J. ENRIGHT	10/ [REDACTED]	13-Nov-2002	HW DD
29-Oct-2003 Due Date	3 Month Due Date US-3 Month Office Action	031035-87572 / United States of America <i>Related Case: 3216</i> <i>Old Client Code: FBR</i> <i>Patent: GAMING MACHINE WITH PUZZLE FEATURE</i>	Pending F.B. RICE & CO.	09/ [REDACTED]	21-Jun-2001	HW
29-Oct-2003 Due Date	Information Dis Follow Up Date Information Disclosure Stmt	032878-00057 / United States of America <i>Related Case: 20381</i> <i>Old Client Code: FLK</i> <i>Patent: MULTIPLEX ANODE MATRIX VACUUM FLUORESCENT DISPLAY AND THE DRIVING DEVICE THEREFOR</i>	Pending FIRST LAW OFFICES OF KOREA	10/ [REDACTED]	29-Apr-2003	HW
29-Oct-2003 Due Date	MISSING PARTS DUE US-Missing Parts	100720-00056 / United States of America <i>Related Case: 20462</i> <i>Old Client Code: HEIN</i> <i>Patent: ELECTROMECHANICAL TRANSDUCER AND METHOD FOR MANUFACTURING AND ELECTROMECHANICAL TRANSDUCER</i>	Pending OY HEINANEN AB	10/ [REDACTED]	18-Jun-2003	HW EM
29-Oct-2003 Due Date	Information Dis Follow Up Date Information Disclosure Stmt	100788-00059 / United States of America <i>Related Case: 20366</i> <i>Old Client Code: SAIC</i> <i>Patent: GARMENT WITH PROTECTED DEFORMABLE INSERTS</i>	Pending DRAGOTTI & ASSOCIATI	10/ [REDACTED]	29-Apr-2003	HW

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Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
29-Oct-2003 Due Date	RCE-Follow Up RCE- Follow Up	100809-16122 / United States of America	Pending Sony Computer Entertainment Inc.	09/ [REDACTED]	28-Oct-1999	HW
<p><i>Related Case: 16549</i></p> <p><i>Old Client Code: SCEI</i></p> <p><i>Patent: DATA GENERATION DEVICE AND DATA GENERATION METHOD, DATA RECEIVER AND DATA RECEPTION METHOD, AND DATA COMMUNICATION SYSTEM AND DATA COMMUNICATION METHOD</i></p>						
29-Oct-2003 Reminder	1 Month to Due Date US-3 Month Office Action	100809-16276 / United States of America	Pending Sony Computer Entertainment Inc.	09/ [REDACTED]	02-Oct-2001	HW
<p><i>Related Case: 19027</i></p> <p><i>Old Client Code: SCEY</i></p> <p><i>Patent: METHOD RELATED TO OBJECT CONTROL OF VIDEO GAME</i></p>						
29-Oct-2003 Due Date	Information Disclosure Stmt US-3 Month Office Action	330906-00019 / United States of America	Pending TITAN TOOL, INC.	10/ [REDACTED]	29-Jul-2003	HW
<p><i>Related Case: 20668</i></p> <p><i>Old Client Code: TITN</i></p> <p><i>Patent: PISTON PUMP FOR HIGH VISCOSITY MATERIALS</i></p>						
30-Oct-2003 Reminder	MISSING PARTS US-Missing Parts	031035-00059 / United States of America	Pending F.B. RICE & CO.	10/ [REDACTED]	02-Jul-2003	HW EM
<p><i>Related Case: 20478</i></p> <p><i>Old Client Code: FBR</i></p> <p><i>Patent: ALUMINIUM PROCESSING APPARATUS AND PROCESS FOR SEPARATING ALUMINIUM FROM A MIXTURE OF ALUMINIUM AND ALUMINIUM DROSS</i></p>						
30-Oct-2003 Due Date	3 Month Due Date US-3 Month Office Action	053735-87549 / United States of America	Pending MEINKE, DABRINGHAUS UND PARTNER GBR	09/ [REDACTED]	12-Jun-1998	HW
<p><i>Related Case: 2814</i></p> <p><i>Old Client Code: MEIN</i></p> <p><i>Patent: METHOD OF PRODUCING A METAL SECTION</i></p>						

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Due Date Indicator	Action Due Action Type	Client-Matter/SubCase Country	Status Client	App Number Pat Number	App Date Iss Date	Attys
30-Oct-2003 Due Date	Application Status Check	100661-00053 / United States of America  <i>Related Case: 18628</i> <i>Old Client Code: KLEN</i> <i>Patent: METHOD OF USING COMPUTER DATA TO MODIFY OR ALTER AN EXISTING CAST OR MODEL</i>	Pending MICHAEL KLEIN, D.D.S.	10- [REDACTED]	30-Apr-2002	HW
30-Oct-2003 Due Date	3 Month Due Date US-3 Month Office Action	100809-16089 / United States of America  <i>Related Case: 16983</i> <i>Old Client Code: SCEI</i> <i>Patent: OPTICAL RECORDING MEDIUM AND ENTERTAINMENT SYSTEM THAT EMPLOYS IT</i>	Pending Sony Computer Entertainment Inc.	09- [REDACTED]	17-Feb-2000	HW
30-Oct-2003 Final	INVITATION TO CORRECT DEFECTS	310283-00050 / Patent Cooperation Treaty  <i>Related Case: 19876</i> <i>Old Client Code: SHPR</i> <i>Patent: MOLDED HEADGEAR</i>	Pending MR. BRYANT SHAPIRO	PCTUS03/25217	13-Aug-2003	HW DD

Sunday, October 26, 2003

## Report Selection

Record Count: 112

- ☒ by Due Date  
☐ by Due Date (Calendar)  
☐ by Attorney  
☐ by Attorney (Via Email)  
☐ by Client

Records From  
☒ Patent  
☐ Trademark  
☐ General Matter  
☐ All

Report Format  
☐ Concise  
☒ Comprehensive

Remarks  
☐ Print  
☒ Do Not Print

Preview before printing ☒

Group by Related Case: ☐

From Date: 01-Oct-2003

To Date: 31-Oct-2003

Client:

Attorney: HW

Action Type:

Action Due:

Indicator:

Country:

Old Client Code:

Related Case:

Status(es):

Status Codes:

☒ Active  
☐ Inactive  
☐ All



# Country Application

Sunday, October 26, 2003

Client-Matter: 031035-00051

Country: US

SubCase:

Client: F.B. RICE & CO.

United States of America

Case Type: PCT

Application Status: Pending

Application Number: 10/

Filing Date: 01-Apr-2002

Publication Number:

Publication Date:

Patent Number:

Issue Date:

Parent/PCT Number: PCTAU00/01192

Parent/PCT Date : 29-Sep-2000

Parent Issue Number:

Parent Issue Date :

Agent:

Expiration Date:

Agent Reference No.:

PTA: 0

Client Code: FBR

Tax Schedule: LE

Confirmation #:

Related Case: 3318

## List Of Actions

Action(s) Due	Due Date		Action Taken
FOLLOW UP ON POSTCARD	01-Jun-2002	Due Date	
Information Disclosure Stmt	01-Jul-2002	Due Date	
Missing Requirements/Warn	13-Dec-2002	Reminder	24-Dec-2002
Missing Requirement/Due	13-Jan-2003	Final	24-Dec-2002
Missing Require Follow Up Date	24-Jun-2003	Due Date	
Application Status Check	01-Oct-2003	Due Date	

User ID: SSEONARA

Date Created: 22-Apr-2002

Last Update: 20-Nov-2002

**REDACTED**

# Country Application

Sunday, October 26, 2003

<b>Client-Matter:</b> 031035-00052	<b>Country:</b> US	<b>SubCase:</b>
<b>Client:</b> F.B. RICE & CO.	United States of America	
<b>Case Type:</b> PCT	<b>Application Status:</b> Pending	
<b>Application Number:</b> 10/089,762	<b>Filing Date:</b> 01-Apr-2002	
<b>Publication Number:</b>	<b>Publication Date:</b>	
<b>Patent Number:</b>	<b>Issue Date:</b>	
<b>Parent/PCT Number:</b> PCTAU00/01191	<b>Parent/PCT Date :</b> 29-Sep-2000	
<b>Parent Issue Number:</b>	<b>Parent Issue Date :</b>	
<b>Agent:</b>	<b>Expiration Date:</b>	
<b>Agent Reference No.:</b>	PTA: 0	
	<b>Tax Schedule:</b> LE	
<b>Client Code:</b> FBR	<b>Confirmation #:</b>	
	<b>Related Case:</b> 3320	

## List Of Actions

Action(s) Due	Due Date		Action Taken
FOLLLOW UP ON POSTCARD	01-Jun-2002	Due Date	
Information Disclosure Stmtnt	01-Jul-2002	Due Date	
Missing Requirements/Warn	21-Jul-2002	Reminder	17-Jul-2002
Missing Requirement/Due	21-Aug-2002	Final	17-Jul-2002
Missing Require Follow Up Date	17-Jan-2003	Due Date	
Application Status Check	01-Oct-2003	Due Date	

**User ID:** SSEONARA  
**Date Created:** 15-Jul-2002  
**Last Update:** 15-Jul-2002

# Country Application

Sunday, October 26, 2003

Client-Matter: 031035-87549

Country: US

SubCase:

Client: F.B. RICE & CO.

United States of America

Case Type: PCT

Application Status: Pending

Application Number: 09/ [REDACTED]

Filing Date: 06-Aug-1999

Publication Number:

Publication Date:

Patent Number:

Issue Date:

Parent/PCT Number: PCTAU98/00072

Parent/PCT Date : 10-Feb-1998

Parent Issue Number:

Parent Issue Date :

Agent:

Expiration Date:

Agent Reference No.:

PTA: 0

Client Code: FBR

Tax Schedule: LE

Confirmation #:

Related Case: 2741

**REDACTED**

## Country Application

Sunday, October 26, 2003

### List Of Actions

Action(s) Due	Due Date	Action Taken	
FOLLOW UP ON POSTCARD	06-Oct-1999	Due Date	
Information Disclosure Stmt	06-Nov-1999	Due Date	
Application Status Check	06-Feb-2001	Due Date	18-Dec-2002
Did You Report O/A To Client	18-Jan-2003	Due Date	18-Jun-2003
1 Month to Due Date	18-Feb-2003	Reminder	18-Jun-2003
3 Month Due Date	18-Mar-2003	Due Date	18-Jun-2003
1st Extension	18-Apr-2003	Reminder	18-Jun-2003
2nd Extension	18-May-2003	Reminder	18-Jun-2003
2 Weeks to Final Date	02-Jun-2003	Due Date	18-Jun-2003
6 Month Final	18-Jun-2003	Final	18-Jun-2003
Application Sta Follow Up Date	18-Jun-2003	Due Date	18-Dec-2002
Did You Report O/A To Client	01-Oct-2003	Due Date	
1 Month to Due Date	26-Oct-2003	Reminder	
3 Month Due Date	26-Nov-2003	Due Date	
PTO must resp	18-Dec-2003	Due Date	26-Aug-2003
1st Extension	26-Dec-2003	Reminder	
2nd Extension	26-Jan-2004	Reminder	
2 Weeks to Final Date	10-Feb-2004	Due Date	
6 Month Final Date	26-Feb-2004	Final	
Notice of Appeal Due	26-Feb-2004	Final	
7th Months-Is Brief Needed	26-Mar-2004	Due Date	

User ID: SSEONARA

Date Created: 23-Dec-2002

Last Update: 23-Dec-2002

# Country Application

Sunday, October 26, 2003

<b>Client-Matter:</b> 031035-87577	<b>Country:</b> US	<b>SubCase:</b>
<b>Client:</b> F.B. RICE & CO.	United States of America	
<b>Case Type:</b> ORD	<b>Application Status:</b> Pending	
<b>Application Number:</b> 10[REDACTED]	<b>Filing Date:</b> 04-Dec-2001	
<b>Publication Number:</b>	<b>Publication Date:</b>	
<b>Patent Number:</b>	<b>Issue Date:</b>	
<b>Parent/PCT Number:</b> PR1882	<b>Parent/PCT Date :</b> 04-Dec-2000	
<b>Parent Issue Number:</b>	<b>Parent Issue Date :</b>	
<b>Agent:</b>	<b>Expiration Date:</b>	
<b>Agent Reference No.:</b>	<b>PTA:</b> 0	
	<b>Tax Schedule:</b> LE	
<b>Client Code:</b> FBR	<b>Confirmation #:</b>	
	<b>Related Case:</b> 3265	

## List Of Actions

Action(s) Due	Due Date	Due Date	Action Taken
FOLLOW UP ON POSTCARD	04-Feb-2002	Due Date	
Information Disclosure Stmtnt	04-Mar-2002	Due Date	
Application Status Check	04-Jun-2003	Due Date	27-Aug-2003
Did You Report O/A To Client	01-Oct-2003	Due Date	
1 Month to Due Date	27-Oct-2003	Reminder	
3 Month Due Date	27-Nov-2003	Due Date	
1st Extension	27-Dec-2003	Reminder	
2nd Extension	27-Jan-2004	Reminder	
2 Weeks to Final Date	11-Feb-2004	Due Date	
6 Month Final	27-Feb-2004	Final	

**User ID:** SSEONARA  
**Date Created:** 18-Jan-2002  
**Last Update:** 21-Feb-2002

**REDACTED**

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## Country Application

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Sunday, October 26, 2003

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**Client-Matter:** 053735-87545

**Country:** US

**SubCase:**

**Client:** MEINKE, DABRINGHAUS UND PARTNE United States of America

**Case Type:** ORD

**Application Status:** Pending

**Application Number:** 09 [REDACTED]

**Filing Date:** 12-Oct-1999

**Publication Number:**

**Publication Date:**

**Patent Number:**

**Issue Date:**

**Parent/PCT Number:** [REDACTED]

**Parent/PCT Date :** 28-Oct-1998

**Parent Issue Number:**

**Parent Issue Date :**

**Agent:**

**Expiration Date:**

**Agent Reference No.:**

**PTA:** 0

**Client Code:** MEIN

**Tax Schedule:** LE

**Confirmation #:**

**Related Case:** 2754

**REDACTED**

## Country Application

Sunday, October 26, 2003

### List Of Actions

Action(s) Due	Due Date	Action Taken	
FOLLOW UP ON POSTCARD	12-Dec-1999	Due Date	
Information Disclosure Stmt	12-Jan-2000	Due Date	
Application Status Check	12-Apr-2001	Due Date	27-Jun-2002
Did You Report O/A To Client	27-Jul-2002	Due Date	27-Nov-2002
1 Month to Due Date	27-Aug-2002	Reminder	27-Nov-2002
3 Month Due Date	27-Sep-2002	Due Date	27-Nov-2002
1st Extension	27-Oct-2002	Reminder	27-Nov-2002
2nd Extension	27-Nov-2002	Reminder	27-Nov-2002
2 Weeks to Final Date	12-Dec-2002	Due Date	27-Nov-2002
6 Month Final	27-Dec-2002	Final	27-Nov-2002
Application Sta Follow Up Date	27-Dec-2002	Due Date	27-Jun-2002
Did You Report O/A To Client	01-Apr-2003	Due Date	24-Jun-2003
1 Month to Due Date	24-Apr-2003	Reminder	24-Jun-2003
3 Month Due Date	24-May-2003	Due Date	24-Jun-2003
PTO must resp	27-May-2003	Due Date	24-Feb-2003
1st Extension	24-Jun-2003	Reminder	24-Jun-2003
2nd Extension	24-Jul-2003	Reminder	24-Jun-2003
2 Weeks to Final Date	08-Aug-2003	Due Date	24-Jun-2003
6 Month Final	24-Aug-2003	Final	24-Jun-2003
Did You Report O/A To Client	02-Oct-2003	Due Date	
1 Month to Due Date	02-Nov-2003	Reminder	
3 Month Due Date	02-Dec-2003	Due Date	
PTO must resp	24-Dec-2003	Due Date	02-Sep-2003
1st Extension	02-Jan-2004	Reminder	
2nd Extension	02-Feb-2004	Reminder	
2 Weeks to Final Date	17-Feb-2004	Due Date	
6 Month Final Date	02-Mar-2004	Final	
Notice of Appeal Due	02-Mar-2004	Final	
7th Months-Is Brief Needed	02-Apr-2004	Due Date	

User ID: SSEONARA

Date Created: 04-Mar-2002

Last Update: 04-Mar-2002

# Country Application

Tuesday, November 25, 2003

<b>Client-Matter:</b> 031035-87559	<b>Country:</b> US	<b>SubCase:</b>
<b>Client:</b> F.B. RICE & CO.	United States of America	
<b>Case Type:</b> PCT	<b>Application Status:</b> Transferred	
<b>Application Number:</b> 09/743,950	<b>Filing Date:</b> 12-Jan-2001	
<b>Publication Number:</b>	<b>Publication Date:</b>	
<b>Patent Number:</b>	<b>Issue Date:</b>	
<b>Parent/PCT Number:</b> PCTAU99/00595	<b>Parent/PCT Date :</b> 23-Jul-1999	
<b>Parent Issue Number:</b>	<b>Parent Issue Date :</b>	
<b>Agent:</b>	<b>Expiration Date:</b>	
<b>Agent Reference No.:</b>	<b>PTA:</b> 0	
	<b>Tax Schedule:</b> LE	
<b>Client Code:</b> FBR	<b>Confirmation #:</b>	
	<b>Related Case:</b> 3135	

## List Of Actions

<u>Action(s) Due</u>	<u>Due Date</u>	<u>Action Taken</u>
FOLLOW UP ON POSTCARD	12-Mar-2001	Due Date
Information Disclosure Stmt	12-Apr-2001	Due Date
Application Status Check	12-Jul-2002	Due Date
7 Days To Due Date	01-Aug-2003	Reminder
PETITION TO REVIVE DUE	08-Aug-2003	Final

**User ID:** SSEONARA  
**Date Created:** 27-Jun-2003  
**Last Update:** 09-Oct-2003